8.1.11 Breakwater (US)

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Company Description

Founded in 2020, Breakwater is the combination of <u>technology from IBM</u> and <u>consulting leadership from Ankura and Navigant</u>. Breakwater has over 225 customers globally including over 90 in EMEA. Breakwater customers include corporations, law firms, and government organizations.

Breakwater helps mitigate risk and gain insight from sprawling information by combining technology automation and human expertise. Our expert consulting, software, and managed services address the challenges within information governance, disputes and investigations, regulatory compliance, privacy, and cybersecurity. Our solutions allow governance, legal, and risk professionals to locate, access, analyze, and manage information by making data transparent and actionable. Breakwater helps clients in public and private sectors mitigate risk, improve productivity, and increase profitability by transforming how they use data.

SOFTWARE

StoredIQ for Information Governance™

StoredIQ for Information GovernanceTM is designed to help organizations discover, analyze and act on relevant unstructured data. It offers a holistic solution for addressing data management challenges relating to compliance, e-discovery, records management, storage optimization, and data migration. By providing an indepth assessment of unstructured data across the enterprise and where it resides, Breakwater can help your organization implement business-ready data and drive your organization's digital transformation.

StoredIQ for Legal™

StoredIQ for Legal[™] provides robust electronic discovery (eDiscovery) process management from hold notification to identification, preservation, and collection. Legal teams can use one interface to manage all data preservation and collection requirements. The solution incorporates automation, analysis, and insight throughout the process to increase efficiency while reducing the complexity, cost, and risk associated with eDiscovery events.

StoredIQ InstaScan™

StoredIQ InstaScanTM is an intelligent file analysis tool that leverages automation and statistical sampling models to identify risk hot spots in unstructured cloud data quickly. The tool helps accelerate regulatory compliance and data governance as part of an information governance practice by providing unique capabilities, such as:

- Risk assessment and remediation recommendations
- Support for cloud data sources such as Microsoft 365 & Box
- Audit-ready compliance checks

Atlas Policy Management™

Atlas Policy ManagementTM is a single, cohesive retention policy management system for all information, regardless of file type. It provides natively integrated workflows and analytics to aid policy management and information governance. The solution helps eliminate information management risks by offering centralized

retention controls to support your business and legal requirements. Its integration with an organization's corporate governance catalog enables a unified actionable view into all retention obligations for each data type across all enterprise data sources.

Atlas eDiscovery Management™

Atlas eDiscovery Process ManagementTM empowers attorneys, paralegals, and discovery professionals to efficiently manage a rigorous, highly defensible legal holds workflow. It enables corporate legal organizations to use outside counsel more efficiently by electronically pre-interviewing custodians and reducing the scope of the data required to be collected and reviewed. Atlas eDiscovery Process Management automates the communication of discovery requirements and facts between IT and legal staff, including action item assignments, notifications, alerts, work planning, and searches through holds and collections.

eDiscovery Manager

eDiscovery Manager enables authorized IT and legal staff to search, cull, hold and export case-relevant content reducing management costs for electronically stored information (ESI). This comprehensive solution gives you the high performance and rich functionality you need to support complex cases throughout your enterprise.

CONSULTING

Information Governance

Breakwater's deep expertise across the spectrum of data-driven risk, as strategic advisors and reactive consultants, gives us the ability to synthesize internal and external factors impacting your business, and identify avenues for mitigation or resolution. We can help you build a strategic framework for your organization that allows you to control risk exposure and react seamlessly and swiftly, when necessary.

Our unique combination of consulting and modular platform enables custom solutions to address your organization's most pressing governance needs. We can provide analytical insights into areas of exposure and then work with you to implement best-of-breed solutions, including workflow, policies, procedures, data management, and architecture to work within your current environment.

Litigation & Disputes

Breakwater experts emphasize the criticality of accuracy, efficiency, and transparency, particularly when large amounts of data or complex technical processes are at issue. We help organizations and counsel strategically mitigate data risks in a dispute by leveraging our expertise and technical solutions to garner early, actionable data insights and develop repeatable, defensible solutions.

We assist organizations and counsel with scoping potentially relevant or high priority data sources, aggregation and analysis of disparate data sources, identifying key data insights to inform case strategy, damages, and exposure analyses, and expert opinions related to data-driven processes. We provide comprehensive advisory services related to data strategy and process improvement to mitigate the time, cost, and data risks associated with litigation or disputes.

Investigations & Regulatory Response

Breakwater's multidisciplinary team provides deep expertise in investigations, data, and technology to protect your organization. Our data experts and technology solutions enable organizations to strengthen governance and controls and garner early insights from key data sources to predict, detect, and remediate potential regulatory risks and exposures.

Chapter 8 - Supplier & Software Details

In the event of allegations of fraud or misconduct, Breakwater assists companies and their legal counsel to swiftly and strategically investigate, respond to, and resolve regulatory inquires. Our experts will work with you on all aspects of an investigatory inquiry, including developing a data strategy, fact gathering, analysis of key data sources, reporting on key findings, and implementing improved controls to deter future risks.

Cybersecurity, Privacy & Data Risk

Breakwater experts draw on years in regulatory enforcement, military intelligence, legal practice and consulting, privacy development, and advisory work to bring clarity to preparation, management, and response. We bring data, technology, and industry insights together to implement solutions to know where risks may arise, how to address those risks, and simultaneously create response plans that are fluid, rehearsed, and delivered when crises occur.

We work with you to understand infrastructure, data footprints, and current framework to bring best-in-class solutions to complement your existing capabilities and bridge any areas of exposure. Additionally, we manage the risk tolerance as the landscape for cyber and privacy evolves to ensure that your solutions address today's and tomorrow's needs.

Managed Services

The investment in technology automation supporting legal, compliance, security, and governance requirements can be maximized by leveraging experts during implementation and ongoing operation. Engaging an experienced managed services team with direct access to application developers is key to achieving your business objectives.

Breakwater offers flexible managed services solutions based upon your requirements, from implementation and transition to your team to full daily management and operation. Working with organizations worldwide in every industry, the Breakwater Managed Services team offers decades of experience in information governance and management. Companies use managed services to augment their internal teams to balance workload spikes and mitigate the risk of service disruption.