
CHAMBERS LITIGATION SUPPORT 2023

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USA

How lawyers are ranked

Every year we carry out thousands of in-depth interviews with clients in order to assess the reputations and expertise of business lawyers worldwide. The qualities we look for (and which determine rankings) include technical legal ability, professional conduct, client service, commercial awareness/astuteness, diligence, commitment, and other qualities most valued by the client.

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Business Intelligence & Investigations

Business Intelligence & Investigations
Leading Firms
Band 1
Nardello & Co.
Band 2
K2 Integrity
Mintz Group
Band 3
Alvarez & Marsal
Charles River Associates
Kroll, a division of Duff & Phelps
Quinlan
The Risk Advisory Group
StoneTurn Group, LLP
Senior Statespeople
Senior Statespeople: distinguished older partners
Mintz Jim Mintz Group
Leading Individuals
Band 1
Feeney Thomas Nardello & Co.
Kelkar Patrick The Risk Advisory Group*
Nardello Daniel Nardello & Co.
Wolf Jarrett Wolf Global (ORL) ◊
Band 2
Baker Dorothy Quinlan
Daley Peggy Charles River Associates
Gebauer Snezana StoneTurn Group, LLP*
Maroney Tyler QRI (ORL) ◊
Master Howard Nardello & Co.
Menschel Sabina Nardello & Co.
Ramos Michael J Nardello & Co.
Spiegelhalter Steve Alvarez & Marsal*
* Indicates individual with profile.
◊ (ORL) = Other Ranked Lawyer.
Alphabetical order within each band. Band 1 is highest.

Band 1

Nardello & Co.

What the team is known for Nardello & Co. is a highly regarded investigations firm that regularly works with the world's leading law firms, financial institutions, corporations and governments. The firm is particularly capable in handling matters relating to anti-corruption, fraud, and civil and white-collar criminal litigation.

Strengths

"The Nardello & Co. team is extremely responsive and provides the highest level of service. They are never too busy to return a call and they jump into any new matter with speed and efficacy."
 "They are the gold standard for business intelligence. They have the name, the reach and they have

global operations."

"Nardello is simply the best in its field. Their work product is uniformly outstanding, their insights, strategic thinking and assistance invaluable. They are highly responsive, creative, efficient and always a pleasure to work with."

Notable practitioners

Thomas Feeney is a senior managing director and member of Nardello's litigation and arbitration support practice and its anti-corruption and fraud investigations practice. Feeney assists clients by leading investigations regarding white-collar crime matters, multi-state mobile surveillance operations and the coordination of witness interviews around the world. **Strengths:** "Tom's work has been outstanding. He combines common sense with a wealth of investigative experience, both in and out of government service along with an intellectual curiosity and intensity. He truly has an extraordinary combination of skills." "Tom truly has a unique ability to persuade witnesses to cooperate and share information that has been critical in our trials." **Daniel Nardello** is the founding partner of the firm, serving as chair and the chief executive officer. He is an experienced former federal prosecutor who now assists both US and foreign-based clients in business intelligence and investigations in support of complex litigation. **Strengths:** "Dan is a trusted adviser and brings to bear the highest levels of expertise on anti-corruption, fraud investigations, litigation support, and due diligence matters. He can be counted on to navigate challenging legal and business issues while maintaining the highest standards of professionalism and integrity." "Dan Nardello is a particularly insightful strategist. He is also very practical and client-focused."

Howard Master is involved in some of Nardello's most high-profile, high-stakes matters. As a distinguished prosecutor who has sat in offices at various levels, he is called upon to investigate claims of corruption and fraud and advise on significant strategic initiatives. **Strengths:** "I think Howard is one of the most creative prosecutors that I worked with. He is one of those experts who has a very friendly, very personable personality as well as being just a dogged investigator. He brings a real intellect to what can be a nose to the grindstone sort of pursuit." "Howard Master is phenomenal, he has a really incredible sense of attention to detail."

Sabina Menschel is the chief operating officer of Nardello & Co. and has a proven track record of acting as a key investigator in litigation support, due diligence, asset recovery and fraud matters for a wide range of clients. She has held numerous

positions at leading competitor firms throughout her investigative career, as well as serving as a special adviser in the Directorate of Intelligence at the Federal Bureau of Investigation. **Strengths:** "Sabina is very responsive, her reports are comprehensive and she is a very nice person to deal with. She is also sensitive to client situations and individuals often in testing circumstances. She always respects this well and acts accordingly." "Sabina does a tremendous job in overseeing investigations to figure out the best way to get the information we need to help us prevail." **Michael Ramos** is a senior managing director at Nardello & Co. with more than two decades of legal and investigative experience. His litigation support activities include conducting international and domestic investigations relating to a range of fraud, libel and criminal defence cases. He is a former Assistant US Attorney for the Eastern District of New York. **Strengths:** "Michael was a pleasure to work with and brought a depth of experience to the engagement. He was proactive and efficient throughout." "Every interaction I had with him showed that he was somebody with a great attention to detail and level of professionalism. He brought a lot to the table as an established investigator."

Band 2

K2 Integrity

What the team is known for K2 Integrity is a leading investigative, compliance and cyber-defence firm with offices in Washington DC, Los Angeles and Chicago, and headquarters in New York City. The DC branch is the result of an expansion of the firm's financial crimes and compliance capabilities through its acquisition of a local strategic advisory firm, Financial Integrity Network.

Notable practitioners

Lisa Silverman is a key contact at the firm.

Mintz Group

What the team is known for Mintz Group is a well-recognised and longstanding practitioner in the North American intelligence and investigations market. The firm has significant capabilities in finding admissible evidence to support litigators in court or during settlements, particularly in intellectual property, white-collar defence and commercial litigation.

Strengths

"Mintz produces top-quality reports."

Notable practitioners

Jim Mintz is the founder and CEO of Mintz Group. He is also a founding member and director of the International Association of Independent Private Sector Inspectors General. **Strengths:** *"Jim Mintz is a brilliant investigator. He is one of the founding fathers of the corporate business investigation space. Jim is able to operate seamlessly as a big-picture person but also be very in the details on issues he is managing. He is able to help clients understand what an investigative find means for them and their interest."*

Band 3

Alvarez & Marsal

What the team is known for Alvarez & Marsal covers business investigations, litigation consulting, forensic technology and expert testimony, among other areas. The team handles due diligence and asset investigations and is regularly sought after to uncover financial fraud, money laundering, FCPA violation and IP theft matters. It is particularly adept at dealing with DOJ and SEC investigations.

Strengths

"A&M's geographical footprint is seriously impressive. They are on hand to deal with issues all over the globe. They seem to have it all covered."

"They are really forward-thinking and always look to address problems. They consistently considered the needs of the client and they were fantastic to work with."

Notable practitioners

Steve Spiegelhalter (see p.91) leads Alvarez & Marsal's North America investigations practice and has extensive expertise leading investigations that involve FCPA violations, money laundering, financial fraud, political corruption and other criminal matters. Sources point to his experience as a former prosecutor in the FCPA unit at the DOJ. **Strengths:** *"Steve is very experienced and understands our position as a former prosecutor. He knows well the needs of lawyers when engaging in investigative-type resources and he has a real understanding of what clients need and the time frames under which we operate. He is very well versed in all the different aspects of the practice and can make sure that you are getting what you need."* *"Steve Spiegelhalter is highly practical, very bright, and understands the investigative process and types of deliverables that companies and law firms need in these investigations."*

Charles River Associates

What the team is known for Charles River Associates is a global consulting firm that advises major international law firms, corporations, accounting firms and governments on matters of economy, finance and strategy. CRA's forensic services practice focuses primarily on forensic accounting, cybercrime and digital forensic investigations and is increasingly utilised in support of litigation on behalf of its clients.

Strengths

"On investigations they are one of the gold standard shops that we turn to. They have a very strong reputation, they are going to do the work in a manner that is right, clean and unquestionable. They will

present well and their ideas and findings are easy to present to the board."

Work highlights Charles River Associates advised a sizeable beverage company on an alleged trademark infringement.

Notable practitioners

Peggy Daley is a vice president in CRA's forensic services practice. She has a wealth of experience handling internal investigations in higher education, healthcare, manufacturing and financial services. Daley also has a background in data analytics.

Kroll, a division of Duff & Phelps

What the team is known for Kroll can provide a broad range of business intelligence and investigatory services. The firm's litigation support services include due diligence, cryptosecurity, forensic accounting and many more.

Notable practitioners

Julian Grijns is a managing director of the firm's business intelligence and investigations practice, and is a key contact.

Quinlan

What the team is known for Quinlan is an investigations and business intelligence firm that prides itself on impressive experience in investigative journalism. Its group of specialists are on hand to deep dive into background investigations, civil and criminal litigation support as well as fraud issues. Quinlan often works alongside top global law firms and in response to key US regulatory body investigations.

Strengths

"Quinlan has a strong team that it can leverage to move quickly and confidently. Their people are easy to work with and thorough."

"They are incredibly thorough, they pay attention to client needs and wants, and they are always driving to get the correct answer."

Notable practitioners

Dorothy Baker has long held senior positions at investigative shops. She leverages her considerable expertise to carry out important due diligence and advise on major litigation. Baker is an expert in public record and social media research and has extensive experience conducting sensitive interviews with relevant witnesses.

The Risk Advisory Group

What the team is known for The Risk Advisory Group is deeply experienced in gathering evidence and intelligence in support of litigation and arbitration proceedings. Leading global law firms regularly use the firm for its investigative capabilities.

Strengths

"Risk Advisory is thorough in its approach but also sensitive to client needs and budget considerations. They manage projects and the various dynamics very well and they are able to come back with strong work product that is reflective of the client needs."

"The Risk Advisory Group is commercially savvy and follows trends and developments in key industries. They understand how companies think about complex deals and risk issues."

Notable practitioners

Patrick Kelkar (see p.90) acts as managing director of Americas at The Risk Advisory Group. Kelkar is vastly experienced in misconduct, fraud and corruption investigations, as well as being highly competent in bespoke intelligence gathering for the purposes of litigation. **Strengths:** *"Patrick is very attentive to the needs of a case, no matter how big or small. He is also smart and thoughtful and he is an expert in his area. Patrick has an astonishing amount of experience and it shows in his work. Working with him is such a value-add."* *"He is very responsive, I fully trust his judgement and the clients are always happy with his work."*

StoneTurn Group, LLP

What the team is known for StoneTurn's business intelligence and investigations practice spans the US, with offices located in various major cities. The firm assists clients with complex corporate disputes, litigation and arbitration proceedings and in-depth intelligence assignments.

Strengths

"StoneTurn's depth and ability to support teams has been excellent. They have a keen sense of business purpose and do well to pull together the right team."

Work highlights StoneTurn acted for a client in a high-profile corporate litigation case, involving one of the largest takeover deals of the year.

Notable practitioners

Snezana Gebauer (see p.89) is regularly called upon to oversee complex cross-border investigations into corruption, fraud, embezzlement and misconduct for corporations, investment funds and government entities. **Strengths:** *"Snezana is incredibly gifted. She is able to manage highly complex matters and deliver exactly the information we need on incredibly tight and demanding schedules. She is our go-to person in this area."*

Other Ranked Lawyers

Tyler Maroney is co-founder and manager at QRI. His practice involves supporting white-collar criminal defence attorneys, in-house counsel and commercial litigators with bespoke intelligence gathering to resolve complex disputes.

Strengths:

"I think his thoughtfulness and the way he thinks about investigations is the correct approach. He is an exceptionally good writer and communicator."

Jarrett Wolf of Wolf Global advises on an impressive range of investigations and due diligence matters, including those relating to fraud, misconduct, corruption, sanctions violations and bribery. He has handled numerous high-stakes litigation and arbitration matters, and draws on his experience as a former prosecutor, DEA Special Agent and law firm partner.

Strengths:

"Jarrett Wolf is truly a dedicated lawyer with exemplary attention to detail. He is also very sophisticated when it comes to dealing with cross-cultural cases."

"Wolf's work is seamless and has always been flawless, he achieves optimum results in the given scenario. Jarrett is extremely attentive and responsive to my needs."

“Jarrett is extremely knowledgeable on legal process, is well connected, and is able to effectively communicate complex issues. We have been very impressed with Jarrett’s talent and ability to execute diligently.”

USA: An Introduction to Ediscovery

Contributed by Lighthouse

Legal teams that handle eDiscovery matters today face unprecedented pressure due to various economic, regulatory and technological trends. While these tensions are burdensome, they are also serving as accelerators for innovation and evolution within the eDiscovery space, especially around information governance and document review. This overview outlines the key stressors and challenges impacting legal teams today. We also highlight the innovative ways our clients are evolving to overcome these challenges.

Key Challenges Impacting Legal Teams

Economic volatility, high inflation rates and the near-constant threat of recession

The current economic climate has led to significantly reduced legal budgets and lay-offs, forcing attorneys to do the same (or higher) volume of work with fewer resources. On the in-house side, corporate legal departments are facing intense pressure to become a value-add to the business, rather than operating primarily as a legal guardian and cost centre. In the same vein, to compete with the growing number of consulting firms and alternative legal service providers, attorneys at law firms are expected to add value beyond what has traditionally been deemed “legal work,” such as information governance. As their clients face the same economic headwinds, pressure to move towards early settlement or matter resolution is also reducing the number of billable hours corporate clients require from their outside counsel. This means that attorneys must expand their client portfolios and skills, bridging into new practice areas, to meet their billable obligations.

Record volumes of cloud data and constantly evolving data sources

The prevalence of cloud-based collaboration and communications platforms since 2020 has dramatically increased corporate data volumes and created a host of novel data challenges for eDiscovery teams. Mobile data, messaging platforms and collaboration tools have added a layer of complexity and nuance to how data must be handled for eDiscovery processes. Employees are increasingly using a wider variety of third-party platforms, applications and devices to collaborate on a project or discuss a single subject matter. These technologies may not be integrated with each other and are often not designed or implemented with downstream legal and eDiscovery implications in mind – leading to significant identification, preservation, collection, processing and review challenges. It is also easier than ever for employees to download and use unsanctioned communication applications for

work purposes, creating additional legal risk, including for eDiscovery and enterprise data leakage. Recognising that individuals are increasingly using alternative methods to evade record-keeping and discovery; government agencies and regulators have increased scrutiny around the use and management of third-party systems. Over the past two years, regulators have issued large penalties (often targeted at financial and technology companies) related to record-keeping violations for improper retention of communications data. In February of 2023, the Department of Justice (DOJ) also issued new directives and compliance guidelines around third-party messaging applications for regulated entities.

Expected disruption caused by generative AI

At the same time, generative AI is poised to disrupt the legal and eDiscovery world at an unprecedented scale. Kick-started by the release of ChatGPT in November of 2022, the unique user accessibility offered by publicly available generative AI tools has led to an AI renaissance. Companies and employees across every industry are testing the technology’s capabilities, looking for untapped opportunities to reduce the burden and risk of manual tasks. While the potential use cases are exciting, the rapid pace and scale of generative AI adoption by corporations (and their employees) raise significant concerns for legal teams, especially around the eDiscovery, data security and client confidentiality impacts and risks. On the eDiscovery side, the groundswell of generative AI technology use has the potential to create a deluge of novel business-related data and applications. However, there are currently more questions than answers regarding how to handle this type of data within the parameters of discovery law (eg, Who is the “custodian” of content created with generative AI? Who takes responsibility for misinformation in generative AI-created content?). It also remains to be seen how agencies and government bodies will regulate and/or oversee the increased use of AI across industries. If the sea change of paper discovery to eDiscovery is any guide, however, tackling the challenges posed by generative AI will require the strategic adoption of advanced analytics and innovative workflows to meet the moment.

Innovative Trends to Overcome Current eDiscovery Challenges

To overcome the challenges outlined above, innovative eDiscovery teams are taking a more holistic and proactive approach to managing the data that may end up in document review queues. Some of the key strategies are set out below.

Becoming more proactive and strategic about the decisions made to manage in-place data

As noted above, the massive volume and complexity of managing and reviewing data from cloud sources are driving up the cost of document review and increasing risks for eDiscovery teams. This challenge will only increase with the addition of data created by generative AI technology. In-house legal teams are realising the importance of partnering and collaborating with internal and external stakeholders (eg, IT, compliance, data privacy and information governance experts) to ensure that all data is effectively managed from the very beginning of the data lifecycle within the enterprise. Organisations should have a well-thought-out, standardised, and documented information governance framework, including a robust records retention and deletion policy and practical guidance for employees. Company policies must consider enterprise systems (and how they are used by employees) – especially around communication and collaboration platforms.

Partnering with internal and external stakeholders with expertise on applicable cloud-based platforms and other new technologies is also becoming increasingly important to reduce legal and eDiscovery risks. Cloud-based platforms and applications (eg, M365, Google Workspace, Discord, Slack or Signal), and any new generative AI technologies, must be implemented and monitored in a way that ensures controls and measures are in place for appropriate preservation, disposal, and monitoring of data.

Similarly, forward-thinking legal teams are implementing data reduction strategies long before data is exported for review. Data reduction can be faster and more effective when it is applied to in-place data within the corporate enterprise. Native search and reporting tools within Microsoft Purview and Google Workspace, for example, can be used to interrogate in-place data and identify correct data sources, vet search results before committing to a large collection, and sample and validate data collections – all before data is exported for review. Well-crafted electronically stored information (ESI) protocols can also be used to narrow the scope of what data sources are collected before data is even identified or collected for review.

Taking a more technology-forward approach to eDiscovery with advanced AI and analytic eDiscovery technology

The excitement around the public release of tools like OpenAI's ChatGPT and Google's Bard ushered in an explosive interest in using generative AI technology for eDiscovery tasks. However, this initial public discourse by industry commentators is slightly premature – due to the technological, privacy, security and ethical limitations and risks of using those tools to analyse client data in a legal environment. But while legal media headlines, continuing legal education panels, and webinars have primarily discussed newly released generative AI tools as brand-new technology, the AI building blocks behind those tools are not new to experienced tech developers within the eDiscovery space. In recent years, natural language processing (NLP) and large language models such as BERT have been used to develop advanced AI tools built specifically for eDiscovery. Those building blocks are what make tools like ChatGPT and Bard so effective at understanding and analysing human language. And unlike newly released generative AI tools, the advanced AI tools being used in eDiscovery today are purpose-built to accurately learn from and classify corporate data within a closed environment for legal review. In fact, some have already been approved for data reduction and disposition in regu-

latory settings by government agencies.

As experienced technology providers continue to work on developing generative AI tools to modernise eDiscovery, legal and eDiscovery teams should be learning how to leverage existing advanced AI and analytic tools to manage many of the challenges highlighted above more effectively. Integrating these technologies into review workflows can drastically and defensibly reduce the volume of documents that require “eyes on” human review and help teams review the remaining data faster and more accurately. They are also highly effective at mitigating instances of inadvertently producing sensitive and privileged documents and can automate tasks that have traditionally required manual work.

For example, advanced analytic technology can replace traditional linear review teams with a combination of AI and linguistic models to deliver production-ready responsive review results at a fraction of the price of traditional review. These tools can also be used to build more accurate classification models with rapid stabilisation rates and low training set requirements for privilege review. This enables review teams to evolve past labouring over outdated, overly broad keyword search term reports and attorney name lists, and significantly reduce time spent on expensive privilege review. Similarly, these types of advanced analytic tools can be used to automate the creation of privilege logs and as a quality control check to ensure that existing privilege logs are consistent and accurate.

Equally important, advanced analytic tools can help isolate key documents much earlier on in a matter, and even help prove a negative inference or theory. This early access to information empowers corporate legal teams and law firms to make better, more strategic decisions from the outset of a matter. For organisations with large eDiscovery portfolios, this can translate into millions of dollars in savings year over year.

The unprecedented level of excitement around using generative AI for document review is a clear sign that legal teams are in desperate need of technology that can alleviate the burdens of modern data. Indeed, it is becoming imperative for eDiscovery teams to learn how to integrate existing advanced AI and analytic technology into review workflows to manage today's growing and dynamic datasets. Forward-thinking attorneys can use this new cultural momentum to push their teams and organisations towards a more technologically forward mindset. In turn, this mindset will enable those teams to evolve alongside AI, putting them in a better position to take advantage of the role that generative AI will undoubtedly play in revolutionising eDiscovery in the future.

Minimising the need to re-review the same data across multiple matters

Despite growing data volumes, legal teams are still paying for review teams to repeatedly review the same data for similar issues across multiple matters – sometimes hundreds or even thousands of times. Due to the challenges outlined above, that approach is becoming untenable. Forward-thinking legal teams are finding ways to challenge the status quo by leveraging the time and money spent on past reviews to inform their work on new matters. This approach helps significantly reduce legal spend and improve consistency across matters. There are a variety of methods that can be used to minimise the need for re-review across matters, ranging from more programmatic strategies that leverage ad-

vanced AI tools across matters to more manual strategic review workflows created for clusters of related matters.

On the programmatic end, the advanced AI tools we mentioned above can be used to identify when the same or similar documents appeared in other matters and inform reviewers how that document was coded previously. These tools can also be used to create custom classifiers for content within documents that remains somewhat static across matters (ie, privilege, personally identifiable information, trade secrets, etc). These AI classifiers are trained with the company's data and continue to learn as each new matter is ingested, rendering their predictions more accurate than out-of-the-box third-party classifiers or outdated search terms. This accuracy translates into reduced review cost and time overall, while greatly minimising the need to re-review the same or similar documents for those categories in new matters. Importantly, they also help transform the costliest portion of eDiscovery (document review) into a value-add. Data and attorney work product from past matters can provide a treasure trove of unprecedented insight – not only for case teams working on ongoing matters but across other sectors of a company's business (compliance, HR, IT, etc). Tapping into these insights with the power of advanced AI can help in-house and outside counsel stand out by handing those teams the keys to making more strategic and efficient business decisions.

Other methods of minimising the need for redundant review across matters include creating a “junk bank” to avoid paying review teams to repeatedly re-review the same objectively non-responsive documents, working with review experts to create custom workflows across multiple databases for clusters of related matters (eg, multi-district litigation or matters with joint defence teams), and reusing coding on commonly requested documents requested (eg, corporate board minutes or regulatory documents).

Partnering with specialised experts and technology-forward review teams to create more efficient and effective review workflows

As data volumes become larger and more complex, legal teams are pushing to move past the “one size fits all” approach to document review. Asking document reviewers to review and tag each document in their assigned batch across a myriad of categories (eg, responsiveness, privilege, confidentiality, protected personal information, trade secret, issue codes or hot documents) is neither effective nor efficient given today's modern data volumes.

Forward-thinking legal teams are partnering with specialised experts to optimise review by segregating review tasks into distinct workflows for better, faster outcomes.

Linguistic experts can create or optimise keywords and search terms at the outset of a matter to defensibly reduce review datasets by hundreds of thousands to millions of documents. Linguists

and information retrieval experts can use linguistic modelling to craft and execute sophisticated search queries that identify matter issues and key documents faster and more accurately than a linear review team approach. This speeds up document review overall, as reviewers have fewer categories to assess for each document, leading to a faster, more accurate review.

AI and advanced analytic experts can defensibly and significantly reduce the amount of data that needs linear, eyes-on review by using the appropriate technology for the given review goals and matter data. They can also help explain the necessity and defensibility of AI and analytic workflows to clients, opposing counsel, judges, and government agencies.

Experienced review strategy consultants understand when and how to bring in specialised experts, manage and motivate review teams, and create an optimised review plan that ties all disparate workflows together cohesively for final production.

Technology-forward document review teams are trained to review with data reduction and efficiency in mind. These teams can identify workflows and data that are slowing review times or creating review challenges before they have more lasting downstream effects on review progress. Using document review teams who have this training reduces the cost, risk, and time of document review overall.

Conclusion

As this overview outlines, innovation and evolution are necessary to address the challenges of economic volatility and emerging data sources. The legal industry is best positioned to innovate and evolve through the powerful combination of AI technology and specialised expertise (such as linguists and information retrieval specialists), which can manage the growing volumes and complexity of data efficiently, effectively, and defensibly. With cost and risk reduction in mind, more specifically legal teams and eDiscovery providers must:

- focus their innovation on methods to improve the data management and review process;
- leverage AI to effectively identify the information legal teams need, as well as to reduce the amount of “eyes on” costly and time-consuming review required for larger data volumes;
- push more of the data culling and classification upstream into the enterprise and find ways to leverage AI in that process, to reduce data volumes hosted with third-party eDiscovery providers – this will reduce costs and mitigate risks created by corporate data being dispersed across multiple providers.; and
- take a holistic approach towards managing the enterprise data lifecycle (ie, use native tools in enterprise systems such as M365 and Google Workspace to reduce downstream costs).

eDiscovery

eDiscovery
Leading Firms
Band 1
FTI Consulting
Innovative Driven
Lighthouse
Band 2
Alvarez & Marsal
BDO USA LLP
ESI LABORATORY, LLC
iDiscovery Solutions, Inc.
KPMG
Redgrave Data LLC
Stroz Friedberg
Band 3
AlixPartners
Consilio
Deloitte
Epiq
EY
HaystackID
Band 4
Charles River Associates
Control Risks Group Ltd.
Forensic Risk Alliance
Meta-e Discovery LLC
PwC
TransPerfect Legal Solutions
Leading Individuals
Band 1
Emory Tara S. <i>Redgrave Data LLC</i> *
Favro Philip <i>Innovative Driven</i>
McVoy Paul <i>Meta-e Discovery LLC</i>
Regard Daniel <i>iDiscovery Solutions, Inc.</i>
Band 2
Brown Jamie <i>Lighthouse</i>
Mandel Eric <i>Innovative Driven</i>
Prasad Ashish <i>HaystackID</i> *
Band 3
Cohen Matthew <i>AlixPartners</i>
Fetgatter Cathy <i>Innovative Driven</i>
Gold Daniel <i>BDO International BV</i>
Kindy Mark <i>Alvarez & Marsal</i> *
Koehler Bradley <i>Alvarez & Marsal</i> *
Socha George <i>Reveal-Brainspace (ORL)</i> ◇
Will Michael <i>ESI LABORATORY, LLC</i>
* Indicates individual with profile.
◇ (ORL) = Other Ranked Lawyer.
Alphabetical order within each band. Band 1 is highest.

Band 1

FTI Consulting

What the team is known for Global professional services provider FTI has the resources and expertise to handle the largest and most complex cases. The firm is known to have a very strong team with the ability to provide numerous supporting services through the global FTI network. FTI Technology, a practice group of FTI Consulting, is frequently involved in data protection mandates, discovering data in the context of legal and regulatory issues, maintaining regulatory compliance and optimising IT investments.

Strengths

"FTI is innovative in their approach and is forward-thinking. They are looking at the technology that is becoming available and are careful in considering how best to use it. FTI invests heavily into this area."

"They are well known and are very focused on operational efficiency."

Notable practitioners

Sophie Ross is global CEO of FTI Technology and is a key contact.

Innovative Driven

What the team is known for Innovative Driven is known for its consulting services and its technical prowess. The combination of a stable of individuals very experienced in giving testimony in court with the firm's own proprietary software makes Innovative Driven a notable presence in the eDiscovery space. The team has extensive experience serving as court-appointed special masters, eDiscovery experts and ESI examiners.

Strengths

"The Innovative team has excellent depth and breadth – they can provide services from collection all the way through to production and deposition preparation. They are flexible and a great partner for litigation teams."

"We have presented Innovative Driven with extremely complex problems; their team has tackled these problems efficiently and effectively due to their breadth and expertise."

Notable practitioners

With previous experience as a court-appointed special master, **Philip Favro** is known for his technical aptitude and legal expertise. He is recognised by peers for his contribution to the growth of the eDiscovery industry. **Strengths:** *"Philip is brilliant and is the leading thinker on eDiscovery case law."*

"Philip has built a very strong offering, he is often appointed as an expert witness which speaks to his skill." **Eric Mandel** is both a technology specialist and legal expert when it comes to eDiscovery topics. He was involved in the drafting of the third edition of the Sedona principles. **Strengths:** *"Eric possesses a strong combination of both technical and legal process knowledge."* *"Eric is a tremendous talent, a pure eDiscovery juggernaut whom I would trust with any matter."* **Cathy Fetgatter** brings over a decade of experience in litigation and discovery management. An expert in managed review, Fetgatter helps clients implement optimal technology assisted review workflows for their businesses. **Strengths:** *"Cathy is brilliant, responsive, solution-oriented, and tackles problems efficiently, leveraging her vast industry knowledge."* *"She operates at peak performance for everything related to document review. She fully understands the space as well as client expectations. Cathy consistently advises clients on best practices and is one of my favourite people in the industry."*

Lighthouse

What the team is known for Lighthouse combines strong digital forensics, project management and data hosting capabilities with cutting-edge advisory services. Lighthouse has an impressive share

of the market and often helps top financial institutions to migrate to newer platforms.

Strengths

"They have grown significantly into thought leaders in the space and have ensured a seamless relationship as much as possible."

Notable practitioners

Jamie Brown is vice president of Lighthouse's global advisory services and brings a unique perspective to eDiscovery matters, having served as in-house counsel, a litigation partner and a regulator. She receives praise for being able to offer pragmatic advice tailored to suit the individual requirements of the eDiscovery client. **Strengths:** *"Jamie is a big-picture thinker with real depth in the financial services industry. She understands regulation deeply and the implications of such regulation. Jamie is thorough but success-oriented; she tells you the risks and helps you to navigate the path forward to combat those risks."*

Band 2

Alvarez & Marsal

What the team is known for Alvarez & Marsal provides a comprehensive service that covers the entire eDiscovery lifecycle. It has extensive expertise in identification, preservation and collection, processing and analysis, review, production and electronic bundles.

Strengths

"A&M's service delivery is rooted in understanding the importance of client service. The client focus is critical and they consistently deliver on this. There is a strong level of technical understanding which really helps. They stand out in the marketplace in this sense."

Notable practitioners

New York-based **Mark Kindy** (see p.90) is an advanced data analytics and evidence and discovery management expert who brings over three decades of experience handling complex eDiscovery matters. **Strengths:** *"Mark is extremely diligent, commercial and thoughtful in his approach. He has an excellent attention to detail, is consistent and always delivers the appropriate follow-up. He is a great partner on these matters."* *"Mark has a wealth of experience in the discovery space and manages the A&M team seamlessly."* **Bradley Koehler** (see p.90) leverages considerable experience in eDiscovery to service clients in litigation proceedings. His advice covers the full spectrum of the eDiscovery process and he has assisted clients in environmental litigation as well as in necessary bankruptcy processes. **Strengths:** *"Bradley Koehler is super responsive and very efficient in dealing with complex discovery matters."*

BDO USA LLP

What the team is known for BDO is an auditing and accounting outfit with a global network of affiliated firms. This international scale and the deep experience of its US personnel combine to form a well-respected eDiscovery team. With strong technology and mobile solutions, BDO is

able to craft bespoke solutions to a wide range of eDiscovery challenges.

Strengths

"BDO has a large footprint on many issues. As a high-volume business, BDO is operating as a reliable, dependent player. In my view, they are a solid, consistent performer."

Notable practitioners

Daniel Gold serves as managing director of BDO's eDiscovery managed services and combines his legal, technology and eDiscovery expertise to craft a comprehensive product offering to clients looking for solutions to complex eDiscovery challenges. He created Athenagy, a business intelligence platform that provides legal professionals with critical insights into an organisation's data, optimising eDiscovery.

ESI LABORATORY, LLC

What the team is known for ESI LABORATORY is a data provider that offers expert advice to clients in the eDiscovery sector. With an office in Miami, the vendor focuses on data-intensive matters and provides support to global law firms, financial institutions and expert witnesses.

Strengths

"ESI has been a thought leader in this space for over a decade, developing truly innovative solutions to the most highly complex problems of textual and document structural analysis. ESI has been able to successfully apply advanced methods to real-world litigation and other situations, to great commercial effect."

"ESI consistently meets every challenge we throw at them, no matter how obscure or difficult."

Notable practitioners

Michael Will is co-CEO and founder of ESILAB. He is an experienced financial services lawyer and combines this expertise with extensive technological acumen to service clients in litigation. Will is integral in crafting relevant data sets and ultimately helping clients to avert risk in proceedings. **Strengths:** *"Michael is a seasoned financial markets lawyer with international experience, who combines deep legal expertise with an outstanding talent for technology innovation, and the ability to deliver highly effective practical outcomes for clients."* *"Michael knows litigation and technology, exactly what is needed for the services he provides. His skill set is invaluable."*

iDiscovery Solutions, Inc.

What the team is known for iDiscovery Solutions creates innovative and creative services relating to data collections, forensics and data analysis. The firm is particularly well regarded for its expertise in structured data solutions and has crafted numerous tools to help extract key insights for clients.

Strengths

"iDiscovery carries out deep analysis into operating systems and is creative in their approach. They are on the cutting edge of eDiscovery work. Every now and then you come across a truly trusted adviser. For a complicated, challenging case you want them by your side."

Notable practitioners

Daniel Regard, the firm's president and CEO, has expertise in both law and computer science, as

well as two decades of consulting experience, much of it in eDiscovery. **Strengths:** *"I hold him in high regard, he is very knowledgeable and works with high integrity. He is also active on testimonies and is a real quality professional."*

KPMG

What the team is known for KPMG uses its global network and strong professional service offerings to provide a complete package to firms seeking eDiscovery services. KPMG's ability to combine forensic, eDiscovery and investigations practices with a global footprint makes it a strong option for multinational clients.

Strengths

"I have thoroughly enjoyed working with them and value their level of expertise. The quality of the work stands out and they know our company fairly well at this point. They are well established and possess institutional knowledge, which helps a lot. They are excellent."

Notable practitioners

Ed Goings is principal and the national leader of KPMG's eDiscovery and forensic technology team, and is a key contact.

Redgrave Data LLC

What the team is known for Redgrave Data is a prominent player in the US eDiscovery market. The firm helps clients address comprehensive data analysis issues when facing litigation. In its commitment to product development, Redgrave also helps clients to implement document review processes and protocols.

Strengths

"Redgrave Data is solutions-oriented, flexible and experienced."

Notable practitioners

Tara Emory (see p.89) is a highly experienced eDiscovery professional who is a regular at the Sedona Conference. Emory recently moved from Innovative Driven to Redgrave Data, where she is SVP of strategic operations and consulting.

Strengths: *"Tara has a great reputation in the industry, her position is highly deserved. She is a market leader."* *"Tara speaks very well at conference. She appears to be very well respected by law firms and the various corporate counsel."*

Stroz Friedberg

What the team is known for Cybersecurity expertise combines with digital forensic capabilities to make Stroz Friedberg a notable provider of eDiscovery and digital evidence preservation services. The firm supports its eDiscovery work with enforcement and investigative expertise to present an integrated and targeted eDiscovery service.

Band 3

AlixPartners

What the team is known for AlixPartners has offices across the US and the globe, and is well suited to handling complex cross-border eDiscovery work involving data collection, processing and review. The firm's professionals have deep familiarity with regulatory issues and are able to

deal with complex data sets with tailored consulting solutions.

Strengths

"AlixPartners are very pragmatic in their approach and understand the realities of their advice. They are always measured and manage the situation in a constructive and creative manner."

Notable practitioners

Matthew Cohen is an eDiscovery specialist and has extensive experience advising companies across the tech, FMCG, medical device, insurance and financial services sectors. **Strengths:** *"Matt Cohen is a rare breed of being a technologist and lawyer and so his skill set is unique."* *"He is an expert on cross-border data transfers and is very well spoken and articulate on the issues too. He has a professional and nice personality which resonates well with people. He dedicates his time to the area. We are devoted to the growth of the eDiscovery market and Matt contributes heavily to this growth."*

Consilio

What the team is known for Consilio has a large global team and has the ability to handle significant eDiscovery matters. The firm has merged with and taken over a number of eDiscovery firms over the years to build a large arsenal of eDiscovery tools and solutions to serve clients anywhere across the globe.

Strengths

"Consilio has a large team and has the ability to handle big-ticket collection requests. They are a strong player."

Notable practitioners

Andy Macdonald is CEO of Consilio and is a key contact.

Deloitte

What the team is known for As one of the Big Four, Deloitte has the capacity to address global corporates' eDiscovery challenges, providing seamless solutions across jurisdictions. With its scale and the ability to provide a comprehensive service package to clients, Deloitte is a popular solution provider in this market.

Notable practitioners

Jessica Anderson leads the discovery and data management practice of Deloitte's transactions and business analytics division, and is a key contact.

Epiq

What the team is known for Epiq provides clients with a wide range of tech-based services, including eDiscovery assistance. The firm's work in this area includes AI-based review and contract analysis, and it is also able to help clients with data breaches.

Strengths

"Epiq has great specialised teams to assist with various types of litigation. They have very knowledgeable project managers and are able to provide rapid support and work on complex issues."

"Epiq is an essential part of our complex discovery team, specifically for value in first-level document review."

Notable practitioners

Roger Pilc is president and general manager of the legal solutions business at the firm and a key contact.

EY

What the team is known for EY has a multifaceted eDiscovery practice with the ability to find solutions in different scenarios and a wide range of litigation needs. The firm has deep technical capabilities, through which it can apply analytics and AI technologies to eDiscovery matters, while clients may also benefit from the firm's managed review and discovery consulting services.

Notable practitioners

Todd Marlin is EY's global forensic and integrity services technology and innovation leader, and is a key contact.

HaystackID

What the team is known for HaystackID is a specialised eDiscovery service provider with the ability to craft innovative solutions to challenging eDiscovery problems. The firm has partnerships with notable vendors and regularly handles a wide range of matters, including data collection and processing, managed document review and discovery consulting.

Notable practitioners

Ashish Prasad (see p.91) is the vice president and general counsel of HaystackID, and a respected figure in the eDiscovery field. He is well known for his expertise in document review and presence at industry events. **Strengths:** *"Ashish is extremely knowledgeable around reviews. He produces high-quality work and is full of integrity. He is really standout and clients enjoy working with him. He is just excellent."*

Band 4**Charles River Associates**

What the team is known for Charles River Associates offers a huge breadth of eDiscovery and forensic-related services. It is adept at handling data culling and processing, identification, preservation and forensic data collection, structured and unstructured data analytics and expert testimony, and also regularly assists corporations that experience ransomware and business email compromise events.

Strengths

"CRA has a great team and consistently provides top-quality service. Particularly impressive was the boots on the ground that they were able to leverage in the furthest corners of the world."

"They quickly understand complex issues and what we are hoping to accomplish. They ask appropriate

questions and push us on areas to help us better understand the issues."

Notable practitioners

Miri Davidson is a principal in CRA's forensic services practice and is a key contact.

Control Risks Group Ltd.

What the team is known for Control Risks has the depth and resources to service clients in eDiscovery issues around the globe. The shop's range of expertise includes carrying out extensive data filtering, processing and production in complex litigation proceedings. Control Risks is on hand to ensure its clients comply with relevant data transfer laws.

Strengths

"Control Risks is truly one of my favourite vendors. The level of customer service and expertise is top notch and constantly impresses me."

Notable practitioners

Brad Kolarinski leads the forensic and eDiscovery technology practice in the Americas and is a key contact.

Forensic Risk Alliance

What the team is known for Forensic Risk Alliance is a professional services firm with expertise in conducting large multi-jurisdictional regulatory and internal investigations. Its forensic eDiscovery services include mobile technological solutions that can work internationally to provide effective data collection and governance.

Strengths

"FRA's responsiveness stands out, they are always available and they are always picking up and dealing with issues. They work seamlessly across London and DC, which is much appreciated, and they have been very supportive in their service."

"FRA adds that deep back bench of industry knowledge and this is really valuable."

Notable practitioners

Washington, DC-based Harsh Sutaria is the firm's chief innovation officer and a key contact.

Meta-e Discovery LLC

What the team is known for Meta-e Discovery offers innovative and technically sound solutions to firms of all sizes. It provides consulting services at an early stage of a case, as well as document collection, processing and review expertise.

Strengths

"Meta-e is on the cutting edge of new technologies and shares this knowledge with their clients in real

time. They understand the businesses that their clients are in and address our situations as they would if they were an actual partner in that business."

"Meta-e professionals are very knowledgeable and solution-oriented. We have presented them with several sticky ESI situations and they have managed to navigate choppy waters seamlessly."

Notable practitioners

Paul McVoy is the founder and CEO of Meta-e Discovery, and a well-established and respected practitioner in the space. **Strengths:** *"Paul is highly skilled at his profession. He stays on top of all of the issues most important to practitioners and is excellent at managing client needs."*

PwC

What the team is known for PwC handles matters of international scale for clients such as Fortune 500 companies and leading global law firms. The firm combines its strong personnel with digital forensic tools and technology to help clients with eDiscovery matters.

Notable practitioners

Philip Upton is a principal at PwC US's legal managed services and is a key contact.

TransPerfect Legal Solutions

What the team is known for TransPerfect Legal Solutions has a global reach spanning the US, Canada, the UK, Europe, China, India and Australia. The company regularly handles complex eDiscovery and forensic technology work, and is also able to provide clients with translation and interpretation services.

Notable practitioners

Co-founder, president and CEO of TransPerfect Phil Shawe is a key contact.

Other Ranked Lawyers

Currently the senior vice president of brand awareness at Reveal-Brainspace, **George Socha** is a highly respected and established eDiscovery practitioner who co-founded the Electronic Discovery Reference Model.

Strengths:

"George is well respected and is heavily focused on thought leadership; he focuses on customised workflows and he is active on the circuit. He brings a unique perspective here."

Forensic Accountants

Forensic Accountants
Leading Firms
Band 1
Alvarez & Marsal
Band 2
AlixPartners, LLP
Forensic Risk Alliance
FTI Consulting
Band 3
Morones Analytics
<i>Alphabetical order within each band. Band 1 is highest.</i>

Band 1

Alvarez & Marsal

What the team is known for Global service provider Alvarez & Marsal has built a strong reputation in the forensic accounting space. The firm's global network also makes it adept at handling some of the most complex cross-border matters in the field. It offers expertise in a wide array of forensic accounting investigations, including those concerning financial reporting, insolvency, white-collar crime, fraud, bribery, corruption and regulatory issues. A&M is often retained as an expert witness by Fortune 500 companies in lengthy arbitration proceedings.

Strengths

"Whatever issues may arise, A&M always handles it with grace under pressure and produces excellent results."

"The Alvarez & Marsal team churns out first-class work product. Not only is their accounting analysis excellent, but they also write very well, which really helps with written submissions to arbitrators."

"A&M is very much on top of the commercial realities that we all deal with. They are very client/counsel-friendly."

Work highlights Alvarez & Marsal advised the Board of Directors for Faraday Future Intelligent Electric on allegations contained in a seller report.

Notable practitioners

Steve Spiegelhalter leads Alvarez & Marsal's North America investigations practice and is a key contact.

Band 2

AlixPartners, LLP

What the team is known for AlixPartners is a key player in the US forensic accounting market. Its team, made up of experts with considerable experience in forensics, guides clients through the relevant investigative and reporting processes. AlixPartners operates across various industries and jurisdictions on issues such as fraud due diligence, trade sanctions, whistleblowing and high-profile corruption allegations.

Strengths

"The team at AlixPartners is extraordinarily talented and we rely on them to help on the most complex and sophisticated matters."

"They have approached the case in an appropriate manner and they have guided the client through some tricky issues brilliantly."

Notable practitioners

Louis Dudney is the Global Leader of the Investigations, Disputes and Risk Practice, and is a key contact.

Forensic Risk Alliance

What the team is known for Forensic Risk Alliance is at the forefront of developing solutions to help clients manage internal and external multi-jurisdictional investigations. The firm's forensic team is able to analyse sizeable data sets and is sought after for some of the most high-stakes compliance matters in fraud, bribery, corruption, financial crime and corporate transactions.

Strengths

"Forensic Risk Alliance is highly impressive. They are highly sophisticated in their approach and knowledgeable on the subjects they are involved in."

"I have been impressed by their ability to gather relevant data from a multitude of different sources and systems and understanding what it means."

"FRA has a strong understanding of multiple industries and provides practical advice to mitigate risks."

Notable practitioners

Founding partner Frances McLeod is a key contact.

FTI Consulting

What the team is known for FTI Consulting leverages its global expert network to provide premier forensic accounting services. The firm's expertise in the domain is reflected in the fact that it is often called as an expert witness and works in cooperation with leading regulatory authorities in investigations. FTI's forensic accounting abilities complement the firm's wide-ranging suite of related services.

Work highlights FTI was retained by Mattel's Audit Committee to investigate allegations in a whistleblower letter regarding Mattel's financial statements.

Notable practitioners

Paul Ficca is the global segment leader of FTI Consulting's forensic & litigation consulting arm. He is a key contact.

Band 3

Morones Analytics

What the team is known for Morones Analytics is a forensic accounting firm based in Portland. The firm provides expert counsel in sizeable breach of contract claims, damage claims and local business disputes. Partners at Morones Analytics also operate effectively as expert witnesses.

Strengths

"Serena and her team are professional, responsive, thoughtful and creative."

"Our matter was appropriately staffed with strong analysts across the board."

Work highlights Morones Analytics was instructed by the State of Oregon to evaluate potential damage claims made by FamilyCare, a non-profit Medicaid insurer, against Oregon Health Authority.

Notable practitioners

Founder Serena Morones is a key contact.

USA: An Introduction to Usa – Nationwide

Contributed by Longford Capital Management

Litigation Finance: The Case for Limited Disclosure of Funding

As the litigation finance industry continues to grow – US funders surpassed USD13 billion in assets under management last year – so too have calls for greater transparency. These demands are typically associated with industry opponents, including those representing large corporations. Outside observers, meanwhile, tend to frame the prospect of mandatory disclosure as a “risk” to litigation finance, with one commentator going so far as to call it “tantamount to a declaration of nuclear war”.

But at Longford, we are not opposed to limited disclosure of litigation funding – specifically, the existence of third-party funding and the funder’s identity. We believe that if defence counsel and judges know litigation funders like us are involved, they will understand the strength of the case and may be more likely to resolve the dispute without a protracted legal battle. Faster resolutions, in turn, help clear our country’s congested civil court dockets.

Transparency trends look to ensure fairness

Disclosure requirements typically focus on two issues: (i) determining whether third-party funders have any control over the outcome of the case; and (ii) whether the funder presents a conflict of interest to the parties involved or the court.

Several states – including Wisconsin, West Virginia, and most recently Montana – have already implemented laws for these purposes, requiring those involved in civil litigation to disclose whether a matter is funded.

They are not alone: the Northern District of California also requires parties in any class, collective or representative action to disclose the identity of litigation funders, while the District of New Jersey mandates disclosure of specific information related to litigation funders. Chief Judge Colm F. Connolly of the US District Court for the District of Delaware, a major venue for patent litigation, also issued a standing order requiring such disclosures.

While there is currently no nationwide disclosure requirement, Congress and the Supreme Court’s Advisory Committee on Civil Rules have repeatedly considered proposals for transparency mandates. Other states (including Kansas, Mississippi, and Louisiana) are also considering disclosure requirements this year – another sign that these requirements may soon become the norm.

Disclosure requirements may change the game – for the better

Despite claims to the contrary, disclosure requirements can be a good thing, namely because they lend credibility to litigants, encouraging faster resolution of cases and ultimately improving the efficiency of our civil courts.

Case in point: when a well-financed corporate defendant is facing a smaller, less-established company in civil litigation, such defendants have traditionally relied on an “outspend and outlast” strategy, counting on their deep pockets to exhaust the other side’s resources without the need to settle. The backing of an experienced litigation funder disrupts this strategy not only by

fronting the costs and absorbing the risk of litigation, but by sending a powerful message to the judge and opposing party about the strength of the plaintiff’s claims.

That is because judges and defence counsel know that top litigation finance firms undertake an extensive due diligence process and fund only what they believe to be the most meritorious cases. At Longford, for example, we conduct a rigorous, two-stage examination of a case’s merits that involves a detailed internal review from our experienced attorney team alongside an independent analysis by an outside law firm with subject matter expertise.

Since we invest on a non-recourse basis, we only provide financing for a small percentage of the cases we assess – those we believe to have the strongest likelihood of success.

When defence counsel discovers through new disclosure requirements that litigation funders like us are involved, they may be inclined to change course – encouraging settlements and swift resolutions rather than years of expensive litigation.

Disclosure should not be a distraction

Existing disclosure requirements mandate only general information about the funder to ensure that the funder does not pose a conflict of interest or exert control over the litigation (with established players like Longford, litigants retain control over their cases). The specific financial terms of the transaction are not part of these required disclosures, and there is a growing consensus in the case law that these terms are irrelevant and non-discoverable.

There is good reason for this: litigation funding should not distract from the underlying case. While knowing about the existence of funding and the identity of the funder may offer legitimate benefits, the particulars of a funding agreement are irrelevant to the underlying litigation. Proposals that would force litigants to disclose the entire third-party funding agreement risk upending the benefits offered by litigation finance – by, for instance, putting the “outspend and outlast” strategy back on the table if defendants know their litigation budget dwarfs that of their opponent.

Furthermore, firms such as Longford take additional steps to prevent lengthy discovery disputes by executing non-disclosure agreements at the outset of every engagement, providing that the information shared with us is highly confidential and is to be used only for the assessment of potential funding. Such agreements are intended to ensure that this information remains confidential and is protected by the attorney work product doctrine as recognised by the overwhelming majority of courts that have taken up the issue.

Turning challenges into opportunities

We expect the trend toward transparency to continue in the coming years, especially since litigation finance has become a popular funding option in today’s volatile economy.

As long as these disclosure requirements remain narrowly tailored and do not distract from the underlying case, we view them as an opportunity to build a better, more efficient civil court system.

Litigation Funding

Litigation Funding
Leading Firms
Band 1
Burford Capital
Fortress Investment Group, LLC
Omni Bridgeway
Parabellum Capital
Band 2
Longford Capital Management
Validity Finance LLC
Band 3
Bench Walk Advisors
GLS Capital
Lake Whillans Capital Partners LLC
Statera Capital
Therium Capital Management
Band 4
Curiam Capital LLC
Delta Capital Partners Management LLC
The D.E. Shaw Group
LexShares
TRGP Capital
Leading Individuals
Band 1
Bogart Christopher <i>Burford Capital</i>
Chin Feman Dai Wai <i>Parabellum Capital</i>
Will Aviva <i>Burford Capital</i>
Band 2
Grant Stuart <i>Bench Walk Advisors LLC</i>
Nicolas Michael A. <i>Longford Capital Management *</i>
Sutton Ralph <i>Validity Finance LLC</i>
Band 3
Decker Marla <i>Lake Whillans Capital Partners LLC</i>
DeLise Christopher <i>Delta Capital Partners Management</i>
Gallagher David <i>The D.E. Shaw Group</i>
Hodgkins Chip <i>Statera Capital *</i>
Shams Howard <i>Parabellum Capital</i>
Spiegel David <i>GLS Capital</i>
Weinstein Boaz <i>Lake Whillans Capital Partners LLC</i>
Woltman Andrew <i>Statera Capital *</i>
<small>* Indicates individual with profile.</small>
<small>◇ (ORL) = Other Ranked Lawyer.</small>
<small>Alphabetical order within each band. Band 1 is highest.</small>

The editorial is in alphabetical order by firm name.

Bench Walk Advisors

What the team is known for Bench Walk Advisors is a well-established litigation funder operating in the USA out of its offices in New York and Wilmington. The firm offers funding for commercial disputes, including financial services, insolvency and antitrust matters.

Strengths

“Bench Walk is a brilliant and profitable player. They have major funder sources from US hedge funds and have great connections to other law firms.”

“They have incredible intellectual capital, especially in terms of Stuart Grant and Adrian Chopin. They are always plugged into market developments and it is always interesting to work with them.”

Notable practitioners

Stuart Grant receives praise for his industry prominence and draws on his background as a

Litigation Funding: Brokers
Leading Firms
Band 1
Red Bridges Advisors
Westfleet Advisors
Leading Individuals
Band 1
Agee Charles M <i>Westfleet Advisors</i>
Berrebi Rebecca <i>Avenue 33, LLC (ORL) ◇</i>
Langhoff Andrew <i>Red Bridges Advisors</i>
Band 2
Farrell Arthur T. “Ted” <i>Litigation Funding (ORL) ◇</i>

very distinguished former plaintiffs’ lawyer. He is an expert on securities litigation and corporate governance matters. **Strengths:** “Stuart is a force of nature and a big deal in the legal industry.”

Burford Capital

What the team is known for Burford Capital is the largest legal finance company in the world. The firm assists clients involved in a broad range of disputes, including insolvency, securities and intellectual property matters, as well as international arbitrations. Its financing options include claims monetisation and portfolio finance arrangements.

Strengths

“Burford are responsive when analysing opportunities we present for funding, provide the highest level of service when negotiating deals with my clients, and are exceptionally responsive and valuable when a funding agreement is in place.”

“Burford is undisputedly the biggest force in the market. They contribute heavily to industry and I am a big fan of theirs.”

“Burford Capital has done a lot to give the industry credibility among institutional investors, which cannot be ignored and must be appreciated.”

Notable practitioners

Christopher Bogart is a co-founder and chief executive officer of Burford Capital, and is one of the pioneers of the litigation funding industry in the USA. As part of the commitment committee, Bogart has a hand in all cases that Burford funds. **Strengths:** “Bogart has done an amazing job building the business.” **Aviva Will** is the co-chief operating officer at Burford Capital and one of the leading figures in the litigation funding industry. At Burford she launched the Equity Project, an initiative designed to address gender imbalances in law by providing a USD100 million fund for financing matters led by women. **Strengths:** “Aviva is very good. She was formerly in-house at Time Warner and so talks to in-house corporate experts very well. She understands their language and their motivations.”

Phoenix-based **Katharine Wolanyk** is responsible for Burford’s intellectual property and patent litigation finance operation. **Strengths:** “Wolanyk has established a good reputation.”

Curiam Capital LLC

What the team is known for New York-based Curiam Capital is a private investment firm that offers litigation funding to law firms and busi-

Litigation Funding: Intellectual Property
Leading Firms
Band 1
Burford Capital
GLS Capital
Longford Capital Management
Band 2
Omni Bridgeway
Parabellum Capital
Band 3
Curiam Capital LLC
Validity Finance LLC
Woodsford
Leading Individuals
Band 1
Gill Adam <i>GLS Capital</i>
Thompson Sean <i>Parabellum Capital</i>
Tsou Sarah <i>Omni Bridgeway</i>
Band 2
Genet Russell J <i>Longford Capital Management *</i>
Wolanyk Katharine A <i>Burford Capital</i>
Band 3
Davis Robin <i>Woodsford</i>
Gulliford Michael <i>Soryn IP Capital (ORL) ◇</i>
Misra Anup <i>Curiam Capital LLC</i>

nesses. The firm is well placed to offer funds for patent and other IP disputes, and is able to provide clients with portfolio funding arrangements.

Strengths

“What stands out is Curiam’s receptivity. They take the time to truly analyse what I bring to them and they are excellent in their feedback. They are frank over their investment intentions, which is always appreciated. Working with them has been completely positive.”

“Curiam have really impressed us with their ability to be flexible in structuring funding arrangements.”

Notable practitioners

Anup Misra is a director at Curiam who has vast experience in the underwriting of funding opportunities involving intellectual property litigation. He comes from a patent litigation background and has represented significant technology clients in the past. **Strengths:** “He is one of the most savvy patent litigation funders in the space. It is always a pleasure to work with Anup. He is readily available and a complete professional. He knows his craft extremely well.”

Delta Capital Partners Management LLC

What the team is known for Delta has highly experienced professionals capable of funding cases in the USA and across various other jurisdictions. The cross-disciplinary expertise of its team means it can offer creative funding arrangements related to a wide range of commercial disputes, international arbitrations, asset recovery matters and enforcements. Delta also offers capital facilities to law firms.

Strengths

“It was clear from our work with Delta Capital that they have a very strong ability to handle complex and sophisticated matters.”

Notable practitioners

Christopher DeLise is the founder and CEO of Delta Capital and has a wealth of experience sourcing investment opportunities and implementing investment strategies. He was previously a partner at K&L Gates.

The D.E. Shaw Group

What the team is known for Global investment and technology development firm The D.E. Shaw Group pivots to litigation financing in the US market. The group focuses on various commercial dispute opportunities and is known to partake in large-scale portfolio financing.

Strengths

"D.E. Shaw is a serious player in the market. They know how to get deals done and are pretty deeply involved in the industry."

Notable practitioners

David Gallagher is co-head of litigation investing at The D.E. Shaw Group. He focuses on sourcing and underwriting for commercial disputes and is recognised as a prominent industry figure. **Strengths:** *"David is excellent. He's a big presence in the industry and is active in investments in the US." "He is very thoughtful in his approach and is attuned to the market."*

Fortress Investment Group, LLC

What the team is known for Fortress is one of the largest and best-known litigation funders in the market and has a formidable reputation. As well as single-case and portfolio funding, it is also known for providing funding to other litigation funders. The firm is able to fund a broad range of cases, including international arbitrations, mass torts and class actions, securities disputes and antitrust matters.

Strengths

"The fact that Fortress has such large funds at its disposal is extremely useful in projects that require financial firepower. We value the fact that the department has a great eye for all sensitivities around litigation funding."

"Fortress is the 800-pound gorilla in the space. They are terribly well informed, very innovative and market-aware."

"I would say they have impressive scale and a high level of sophistication."

Notable practitioners

Jack Neumark is founder and head of the Legal Assets group at Fortress. He is a key contact.

GLS Capital

What the team is known for Founded by former Gerchen Keller executives, GLS Capital is a notable funder of single-matter and portfolio arrangements and provides funding for commercial litigation, arbitrations and complex intellectual property cases.

Strengths

"GLS are very smart about what they spend time on. We find them to be competitive in making proposals on deals that make sense. They also have a level of sophistication which is strong."

"GLS are extremely sophisticated in analysing potential cases, particularly in the pharmaceutical space."

"The core GLS team are very savvy and businesslike in their approach. They not only respond to but pro-

actively raise helpful commercial considerations for an array of matters."

Notable practitioners

Adam Gill is the founder and managing director at GLS Capital. Gill draws on substantial experience from his former positions at Gerchen Keller and Burford Capital in his role at GLS Capital, and he is an acknowledged expert in intellectual property matters. **Strengths:** *"Adam Gill to my mind is the foremost IP funder around. He has seen it all and I think they do exceedingly well in the IP space." "Adam is a real asset to the industry."* **David Spiegel** is a managing director and co-founder of GLS Capital who previously worked as a litigator at Kirkland & Ellis. Spiegel is well regarded for his litigation finance and underwriting expertise. **Strengths:** *"A very effective big-picture thinker. He is the driving force behind its carefully considered funding strategy, which I appreciate. He has a clear vision for GLS and they are doing a tremendous job." "He has tremendous experience that he brings to bear for the benefit of our clients, and can be counted on to provide thoughtful advice and ask forward-thinking questions."*

Lake Whillans Capital Partners LLC

What the team is known for Dallas-based Lake Whillans offers funding to clients through portfolio arrangements, as well as on a single-case basis. Its respected in-house team has significant trial experience and is able to work across a range of cases, including arbitrations, and jurisdictions.

Strengths

"Lake Whillans is highly responsive and easy to connect with, which is a significant advantage in the marketplace of litigation funders."

"They are super professional, and the terms have always been thoughtful and reasonable. I just really like their approach."

"They are team of highly sophisticated litigation attorneys trained at the very best commercial litigation firms."

Notable practitioners

Marla Decker garners praise from market commentators for her extensive legal and financial acumen. She is a former litigator who has acted for corporate and financial services clients on a range of high-stakes commercial litigation matters. **Strengths:** *"Marla is very technically savvy in both finance and legal matters." "Marla Decker is incredibly intelligent and knowledgeable."* **Boaz Weinstein** is the co-founder and a managing director at Lake Whillans. His legal expertise and business sense is widely recognised and he has been funding matters successfully for several years. **Strengths:** *"Mr Weinstein was able to give very important and critical advice throughout the engagement. He was very impressive with his breadth of knowledge and quick grasp of complex elements of the case." "Boaz is very bright, practical and proactive. He's a pleasure to deal with."*

LexShares

What the team is known for LexShares remains an active player in the market, offering funding opportunities to law firms and corporate plaintiffs across a broad range of commercial disputes. Important to the firm's decision-making is its technology-enabled origination strategy which

uses its Diamond Mine platform.

Notable practitioners

Max Volsky is a co-founder of LexShares and its chief investment officer. He is a key contact.

Longford Capital Management

What the team is known for Longford Capital is an experienced and well-capitalised funder. The firm is especially well known for its work on intellectual property matters, but it also funds general commercial matters and antitrust disputes.

Strengths

"They are very intelligent in matching deals to the risk profile of a dispute. They are open-minded, creative and flexible in structuring funding in the international space. Longford have great business sense and I think highly of them."

"Longford are always informed of the latest developments in litigation funding across the area. They provide good input on our cases and they are great to bounce ideas off. They represent the clients interests brilliantly."

"Longford has the ability handle all kinds of claims, whether it is patent cases, bankruptcy, antitrust or other commercial litigation, because of the breadth of its team."

Notable practitioners

Russell Genet (see p.90) is a director at Longford and former intellectual property partner at Nixon Peabody, where he had a well-established practice acting for clients in intellectual property rights cases across a range of sectors. His current responsibilities include underwriting and investment sourcing. **Strengths:** *"Russ is an elite patent strategist and an invaluable partner to our team. He brings a mix of patent litigation expertise, business savvy and decency to the litigation funding arena. He understands not just whether a case is strong from the merits standpoint, but also from an economic and business perspective, which makes his insight remarkable." "Russ is one of the finest minds in the world of patents. Along with seemingly unlimited knowledge, Russ is very approachable and able to explain and understand very complex things in simple ways."* **Michael Nicolas** (see p.91) is a co-founder and a managing director at Longford Capital. He oversees the investment selection and underwriting processes at the firm. **Strengths:** *"He has no equal when it comes to client service; he is extremely responsive and helpful. He keeps his eye on the ball at all times in terms of getting deals done and looking out for his partners." "Mike Nicholas stands out as someone who is extremely hard-working and very focused. He has done a tremendous job over there."*

Omni Bridgeway

What the team is known for Omni Bridgeway is a global litigation funder that pays great attention to the US market, having effectively doubled its US team over the past year. The group is active in single case financing and portfolio funding in both the commercial and IP spaces for disputes across a range of industries. The firm is praised for the depth of its resources and its creative approach to financing.

Strengths

"Omni is a top-notch litigation funder. We have been very impressed with their ability to review and

understand complex patent litigation matters and work with our clients on funding them.”

“Omni have a competitive, ‘think outside of the box’ approach, and are able to look at opportunities from many different angles.”

“I have found Omni’s case managers to be readily available, creative in structuring complex deals, and highly responsive to claim owners and their counsel.”

“They have an excellent command of the commercial situation and values. They are very realistic when taking positions and making decisions.”

Notable practitioners

Sarah Tsou leads Omni Bridgeway’s intellectual property team in the USA and brings her experience as a former patent litigator to funding matters. She is praised for her trial lawyer’s perspective when it comes to intellectual property cases, as well as for her underwriting skills. **Strengths:** “Sarah is one of the genuine superstars in the litigation finance industry. She has combined her litigation experience with business acumen to become a highly capable, decisive and creative adviser and service provider to her claim-owner clients and their attorneys. She is a huge asset to Omni’s overall business.” “She understands the reality of commercial and IP litigation. She brings a level of sophistication and business savvy that one rarely sees in the litigation funding industry at this time.”

Parabellum Capital

What the team is known for New York-based Parabellum Capital is one of the most experienced litigation funders in operation. The firm has a respected team, is well capitalised and is notable for its client service.

Strengths

“Parabellum have a very experienced team – they are commercially savvy and seem to have taken their vast experience and track record and incorporated that into their approach when entertaining their new cases.”

“I have worked with them on several trades and have been impressed with the creativity they bring to bear and the speed at which they execute. They are efficient, reasonable and commercial. I am consistently impressed with them and their work product.”

“They are smooth and transparent in their process. They have been in the US market since its inception and they maintain an impressive reputation.”

Notable practitioners

Dai Wai Chin Feman is director of commercial litigation strategies and corporate counsel at Parabellum. He is praised as a key figure in the industry who has the ability to work constructively on the most complicated litigation funding matters. He is a former litigator who regularly handles commercial and antitrust disputes. **Strengths:** “Dai Wai is very helpful both in negotiating deals and in his general client care. He goes above and beyond and is very supportive of the industry generally and speaks persuasively. I am a huge fan of Dai Wai; he’s a top player in the industry.” “He is the rock star in the industry right now; he is knowledgeable, connected and doing a lot of work in the regulatory field. He is a tremendously personable guy.” “Dai Wai is a great asset to Parabellum. He is smart,

attentive and one of the leaders in his industry.”

Sean Thompson is director of intellectual property investment strategies and general counsel at the firm. He is a former patent litigator who also previously served as senior litigation counsel at a patent licensing entity. **Strengths:** “Sean has a deep understanding of and ability to execute complex litigation funding and patent acquisition transactions. He is responsive and a pleasure to work with.” “Sean’s experience, ability to comprehend complex matters, incisive analysis and collaborative nature make him a true superstar in our industry.”

“He is a sophisticated litigator who prides himself on staying abreast of the latest developments. Sean is smart, responsive and has a great business acumen.” **Howard Shams** co-founded Parabellum Capital and serves as its chief executive officer. He is a strategic leader at the firm and a member of its investment committee. Shams is a well-respected litigation funder and is considered one of the pioneers of the industry from his time at Credit Suisse, where he helped create the investment bank’s legal risk and finance business. **Strengths:** “Howard is an exceptional thinker and strategist while simultaneously being very compassionate and caring.” “Howard Shams seems very knowledgeable and business-oriented in his approach. He is a great funder.”

Red Bridges Advisors

What the team is known for Red Bridges has facilitated litigation financing transactions totalling more than USD100 million. The firm’s work includes helping clients to access case funding and expenses, monetise claims, de-risk law firm portfolios and accelerate legal fees.

Notable practitioners

Andrew Langhoff is the managing director and founder of Red Bridges Advisors. He brings his experience from previous positions in two of the largest litigation funders, Burford Capital and Gerchen Keller Capital, to help find the right financial arrangements for his clients. **Strengths:** “Working with Langhoff was a pleasure; he is extremely sharp and was very professional and thorough throughout. He knows exactly what funders are looking for.”

Statera Capital

What the team is known for Chicago-based Statera Capital receives praise for offering creative funding arrangements to clients and for its flexibility on investment size and structures. Sources note that its co-founders offer both legal and finance perspectives on litigation funding matters.

Strengths

“Statera has really been a pleasure to work with. It has been a streamlined process, which is very helpful. They are nimble and they move quickly in making decisions, which is important in litigation.”

“The process for obtaining the funding was seamless. They took a genuine interest in the case and tried to make the funding process smooth.”

“Statera works so hard and they are just very easy to get along with. That counts for something in this industry. Statera also identifies the issues and really does dig into the weeds.”

Notable practitioners

Chip Hodgkins (see p.90) is a co-founder and managing director at Statera Capital. He has considerable experience in financial and investor relations and leverages this expertise to implement bespoke financing structures on deals for relevant parties. **Strengths:** “Chip is very good at analysing numbers and creating a budget and agreement that is fair to Statera, outside counsel and, most importantly, the client.” **Andrew Woltman** (see p.92) is a co-founder and managing director at Statera Capital who also serves as its general counsel. He is able to leverage his deep experience as a former litigator who has represented clients, both plaintiffs and defendants, in a range of sophisticated commercial disputes. **Strengths:** “I was very impressed with Andrew’s professional skills and vision.” “Andrew is outstanding. He can quickly digest the intricacies of a case and provide a rational and spot-on evaluation.”

Therium Capital Management

What the team is known for UK-headquartered Therium Capital Management has built a fine reputation in the US market. The firm is highlighted by sources for its approach to working with clients and offers funding for a range of matters, including antitrust and securities disputes, insolvency litigation and international arbitrations.

Strengths

“Therium are adept at quickly understanding legal claims and the economics relating thereto, and aligning the incentives of stakeholders.”

“Therium have proven their ability as a reliable resource time and time again. They are at the forefront of our mind when their expertise is needed for our best clients.”

“Therium were very good to deal with. They were consistently logical and reasonable throughout.”

Notable practitioners

Corey Banks is an investment manager at Therium and helps lead its business in the USA. He is a key contact.

TRGP Capital

What the team is known for TRGP Capital works with litigants, investors and law firms across a range of disputes. The group is particularly well known for its activity in mass tort plaintiff funding and cooperating with law firms in portfolio financing.

Strengths

“TRGP is involved in a lot of deals and is pretty creative in their approach.”

Notable practitioners

Hassan Murphy and Michael Rozen are TRGP Capital’s managing partners.

Validity Finance LLC

What the team is known for Validity Finance regularly invests in commercial disputes proceeding in US courts, and also offers funding for international arbitrations. Sources are particularly impressed by the firm’s nimble approach to funding cases.

Strengths

“Validity seems to have specialists for every specialty and employs former practitioners who can dive deep into the subject matter.”

“They are highly ethical and very collaborative in

their approach. We loved working with them.”

“Validity are just real straight shooters and have a transparent outlook.”

Notable practitioners

Ralph Sutton is the founding partner and chief executive officer at Validity Finance. He brings significant expertise from his time at Credit Suisse and IMF Bentham, and is well regarded for his ability to find the right financing solutions for his clients. **Strengths:** “Ralph is a brilliant strategist and innovator. He gets the dynamics of deals and people very quickly, and always has interesting insights and ideas.” “Suton has very good commercial and entrepreneurial instincts. He is a visionary and sees the space in a unique light. I enjoy working and collaborating with him.”

Westfleet Advisors

What the team is known for Westfleet Advisors is widely recognised for assisting clients to manage the process of raising, evaluating and funding options for their cases. The firm offers structuring advice, alongside brokerage services, comparative analysis and feasibility analysis for clients who have already secured funding, or who are investigating the possibility.

Strengths

“I find it impressive that Westfleet put out a lot of state-of-the-industry publications and they do surveys related to the area.”

“We are very impressed with Westfleet. When we see them they are very professional and very knowledgeable of the whole industry.”

Notable practitioners

Charles Agee is the CEO of Westfleet Advisors. In a previous role, he helped develop funding solutions at a major hedge fund. **Strengths:** “He seems reasonable, reliable and trustworthy and publishes a key report for the industry.” “Charles has positioned himself as an industry expert.”

Woodsford

What the team is known for Woodsford holds particular expertise in patent infringement and IP-related litigation funding. With offices in key financial centres in the USA, Woodsford assists both small and larger businesses with enforcing their patent rights against infringers and is praised by sources for the depth of its talent.

Strengths

“Woodsford’s team are thoroughly versed in the latest market trends, substantively concerning recent developments in the law. On the transactional side, they understand the creative ways funders are making deals work.”

“Each of our contacts at Woodsford has extensive litigation experience and thus understands the dynamics and damages impact of litigation.”

“Their team includes diverse talents who have experience across a range of areas.”

Notable practitioners

Robin Davis is Woodsford’s chief investment officer in the USA and spearheads its various investment and underwriting efforts. As an established professional in intellectual property litigation, she often helps to advise plaintiffs on sizeable patent infringement and trade secret claims. **Strengths:** “I have the utmost respect for Robin’s knowledge and skills. Robin is very astute, knows the substance of patent law inside and out and has excellent judgement. I feel fortunate to be able to work with her on a regular basis.” “Robin is a force in the litigation funding arena.”

Other Ranked Lawyers

Rebecca Berrebi of Avenue 33 brings a unique perspective to the brokerage market as both a former corporate lawyer and head of corporate affairs at a respected litigation funder. Sources find her corporate background to be particularly

helpful when structuring deals.

Strengths:

“Rebecca is very thorough, passionate and dedicated to her work. She is one of the top players in the space. Rebecca is highly knowledgeable in the area, having served as a funder and lawyer.”

“She has a great pulse on what is going on in the industry and makes a real effort to keep up to speed on trends and the market direction.”

“Rebecca knows everybody in the business, and she is very accommodating and supportive in the work that she does.”

Arthur ‘Ted’ Farrell of Litigation Funding Advisers offers litigation funding advisory and brokerage services, and has a background as both a former litigator and a managing director at a legal finance firm. He has significant experience advising on mass tort, intellectual property and commercial litigation matters.

Strengths:

“Ted is very well connected and brings a lot of creativity to bear. He has a ‘never say die’ attitude, which is great. He will find a way to get it done and he understands market dynamics very well.”

“He guides clients through the process and tailors services to the needs of the case. He is able to work with clients across the spectrum to find the best funders for their needs.”

Michael Gulliford co-founded Soryn IP Capital Management and is widely acknowledged as an intellectual property expert. His clients include a range of companies, law firms and universities. He previously served as a partner in the patent litigation group at Kirkland & Ellis.

Strengths:

“He really paved the way for IP funding for a lot of us.”

“Michael is sharp in his focus on IP litigation.”

Litigation PR & Communications

Litigation PR & Communications	
Leading Firms	
Band 1	
Brunswick Group	
FGS Global	
The Levinson Group	
Reeemark	
Trident DMG	
Band 2	
Edelman	
PRCG Haggerty	
Sitrick and Company	
Band 3	
August Strategic Communications	
FTI Consulting	
H/Advisors Abernathy	
Infinite Global	
Poston Communications	
Ripp Media	
Band 4	
Furia Rubel Communications, Inc.	
Goldin Solutions	
KARV Communications	
Senior Statespeople	
Senior Statespeople: distinguished older partners	
Davis Lanny	Trident DMG
Sard George	FGS Global
Sitrick Michael	Sitrick and Company
Leading Individuals	
Band 1	
Holmes Paul	FGS Global
Levinson Molly	The Levinson Group*
Moskowitz Ellen	Brunswick Group
Band 2	
Bergman Brandy	Reeemark
Davis Ellen	August Strategic Communications*
Diaferia Jamie	Infinite Global*
Galper Joshua P.	Trident DMG
Haggerty James F.	PRCG Haggerty
Ripp Allan	Ripp Media
Band 3	
Burns Hugh	Reeemark
Caminiti Paul	Reeemark
Band 4	
Frank Andrew	KARV Communications
Goldberg Adam	Trident DMG
Heller Risa	Risa Heller Communications (ORL) ♦*
Marlin Myron	FTI Consulting, Inc
Poston David	Poston Communications
Rubel Gina	Furia Rubel Communications, Inc.
Smith Monica	Poston Communications
* Indicates individual with profile.	
♦ (ORL) = Other Ranked Lawyer.	
Alphabetical order within each band. Band 1 is highest.	

Band 1

Brunswick Group

What the team is known for Brunswick Group is an internationally recognized public relations and communications advisory firm with a market-leading presence in the US. Its litigation communications team can assist clients in navigating high-stakes public disputes by supporting a com-

pany's legal position while protecting its reputation.

Strengths

"Brunswick is a seasoned team with experience in high-profile matters and an understanding of the law and political environment."

"What sets Brunswick apart is that they are just incredibly responsive and professional. Their work product is top-notch, and Ellen Moskowitz puts together teams of creative, client-oriented professionals. They are top-tier."

"I have been consistently impressed by the quality of both strategic counsel and execution. We have been in the trenches with them on a multitude of issues and they have been top-notch in terms of their service."

Notable practitioners

Ellen Moskowitz leads Brunswick's US crisis and litigation communications team. She advises public and private companies on critical enterprise-threatening issues. **Strengths:** "Ellen is a titan. She can engage a boardroom or the most junior attorney or communicator. With her intellect, her strong and clear points of view, her depth of understanding, her experience and her respect for the individual and problem at hand, she does what few in her field can. She breaks through barriers, and makes people feel good about it. She is the exemplar of being certain as often as she is right." "Ellen is extraordinary. She is wise, bold, strategic, and leads a team that consistently delivered above and beyond." "Ellen is the consummate professional and person you want supporting you on a crisis issue. Her legal expertise, combined with her communication and political savvy, is excellent."

FGS Global

What the team is known for FGS Global provides support to clients in government inquiries, investigations and litigation proceedings. Its staff includes former journalists, lawyers, bankers and corporate communications executives.

Strengths

"The FGS team excels in crunch time, and delivers well-developed strategies for managing corporate public relations responses. They inspire confidence based on their deep knowledge and expertise."

"They operate in nuanced, sophisticated spaces expertly and have depth in terms of advice and expertise. FGS is able to represent on global high-stakes matters."

"I think they are formidable in this space and take that thoughtful approach. I view and admire their work."

Notable practitioners

George Sard was the chair and co-CEO of legacy firm Sard Verbinnen & Co. He is a distinguished expert in representing Fortune 500 companies in high-stakes situations. **Paul Holmes** was global managing partner of legacy firm Finsbury Glover Hering's North American business and is now vice chair of FGS Global. He often helps clients craft response strategies to government investigations. **Strengths:** "Paul is always thinking several steps ahead, he is always thinking about how a story might play and how the narrative may be construed

in the press. He maps the issue out several steps ahead, which is invaluable." "Paul was wonderful in preparing the client and giving extraordinarily sound advice on how best they can protect themselves."

The Levinson Group

What the team is known for The Levinson Group provides strategic advice and crisis and issues management for corporations and individuals. The agency has a proven track record of partnering with leading law firms who are acting counsel, or guiding clients directly through high-stakes contentious situations.

Strengths

"The Levinson Group anticipates problems, speaks legalese, understands the dynamics between law firm and client, and also promotes the firms with whom they work without being asked to do so. You could not ask for a better partner in the trenches on tough matters."

"The service provided by Levinson is very fast and the material is crucially readable. I give the group and Molly the highest marks."

"The Levinson Group is a go-to for litigators because of the depth of their experience and the laser focus they bring to each matter."

"They are absolutely stellar, they look at all facets of the problem and they are always considering the business impact. They really do look at all angles and this is very impressive."

"The Levinson Group is an all-star team from top to bottom."

Work highlights The Levinson Group served as a leading strategist, adviser, and litigation communications support team to the United States Women's National Soccer Team in the players' highly publicised pay equality lawsuit and landmark equal pay resolution.

Notable practitioners

Molly Levinson (see p.32) founded TLG and remains the CEO of the agency. She is an expert in handling reputations and providing strategic advice to clients during difficult trials, investigations or crises. **Strengths:** "Molly has tremendous instincts and is laser-focused. She is trusted by the people at the highest level of industry and government." "Molly has an extraordinarily strong set of relationships with the media, and she quickly earns the client's trust in their most difficult moments." "She is very seasoned and has a very strong understanding of the optics of how situations are going to play out, particularly in crisis situations. She remains calm and collected throughout the process and knows what messages to send out. Molly is always creative in her advice too and I very much enjoy working with her."

Reeemark

What the team is known for Reeemark is a specialist boutique agency that focuses on guiding public and private companies through challenging, high-stakes litigation and reputational crises. Its staff consists of senior practitioners with vast experience in providing communications support through SEC, DOJ and state AG investi-

gations, civil litigation, shareholder lawsuits and complex commercial disputes.

Strengths

"ReeveMark really aligns with the legal strategy brilliantly. They are incredibly responsive, thoughtful and commercial, and come up with creative ideas. They have great relationships with top-tier media outlets."

"ReeveMark is a highly responsive and very thoughtful group. They have a helpful way of cutting to the core of issues and presenting executive advice. They have been a really good partner, they take feedback very well and they consistently meet targets."

"ReeveMark is a real force and they do outstanding work in this area."

Work highlights ReeveMark provided strategic communications counsel and support around the filing of Lionbridge's answer and counterclaims in response to an alleged trade secret theft.

Notable practitioners

Brandy Bergman is a founding partner of ReeveMark and its CEO. Bergman has extensive experience in media and investor relations surrounding special situations, such as FCPA and other regulatory investigations, cyber attacks, earnings warnings and product recalls. **Strengths:** *"Brandy is very methodical and she has great client-facing skills. She can walk into any room and provide the advice that is needed."* **Brandy is an amazing counsellor and it is very calming for clients to know that she is involved. She has a great sense of litigation and other corporate disputes. Brandy knows how to react appropriately to situations, which is really valued." **Hugh Burns** co-founded ReeveMark after serving as managing director of market-leading PR and communications firm Sard Verbinen. He is a seasoned communications professional with a proven track record of handling sophisticated litigation support mandates such as breach of contract, trademark and patent infringement disputes, as well as Chapter 11 cases. **Strengths:** *"Hugh is excellent in all respects."* **Paul Caminiti** is well-versed in guiding high-profile individuals and executive teams through a myriad of issues that may arise from lengthy litigation. He has a wealth of experience handling civil and federal criminal cases. **Strengths:** *"Paul has tremendous legal acumen and has a great sense for how litigation works both substantively and the fact that litigation is not always linear. He is able to manage public message incredibly well and give great advice to our clients as to how to approach things publicly."***

Trident DMG

What the team is known for Trident DMG is a boutique litigation PR firm in Washington, DC. It handles communications on disputes matters ranging from bankruptcy to Congressional and regulatory investigations. Its advisers have practical experience in senior governmental positions, including acting for the White House during previous presidential administrations.

Strengths

"Trident DMG is spectacular. Their client roster and the matters that they work on are the top of the top." *"Trident wins well-deserved, wide respect from elite global law firms because Trident intuitively understands how these firms and the litigation they*

wage operate. Trident's talent pool is impressive at all levels. They're 24/7 responsive, and we would not hesitate to call on any member of the team to assist us."

"Trident consolidates the legal, regulatory and media advice into a single engagement, taking a holistic view of the matter, it is hard to express how much benefit we got from this synergy."

"Trident DMG really understands the area and the fact that this work requires a special touch."

Notable practitioners

Lanny Davis was the former Special Counsel to President Bill Clinton in the White House and managed communications and controversies during his administration. He co-founded Trident DMG and handles a comprehensive portfolio of high-profile clients undergoing media scrutiny and legal proceedings. **Strengths:** *"Lanny's creativity and network are invaluable in circumstances like ours. His past experiences coloured much of our strategy and prevented a great deal of time waste."* **Joshua Galper** is an attorney who has worked on various US political campaigns, including President Obama's 2008 campaign. Galper has a focus on public policy and government relations, privacy and cybersecurity. **Strengths:** *"Josh is a consummate strategist and tactician with a razor-sharp intellect and rock-solid judgement. He quickly grasps complex issues and nuance and boils them down simply. When it comes to tough decisions, I want him by my side."* *"Josh is ridiculously creative in coming up with messaging strategies. He is great about considering these points and is so well connected in the industry."*

"He dives deeply into substance, anticipates what is around corners in litigation, and ensures you are prepared. He sees the big picture and recognises that reputation is shaped outside the courtroom as much as it is from within it." **Adam Goldberg** has experience advising both the Democratic National Committee and President Clinton, assisting with communications strategies for impeachment and other investigations. Since co-founding Trident DMG, Goldberg has advised individuals and corporations undergoing strenuous investigatory scrutiny. **Strengths:** *"Adam is an insightful strategist, masterful wordsmith, and fierce advocate. He understands that communications is more than just creating and delivering messages for the media but about managing multi-faceted stakeholders."* *"His advice is sensitive to client needs and he knows how to implement in a manner that is effective but also sensitive to the legal issues at play. His advice is consistently highly nuanced and skilled in often challenging circumstances."* *"Adam is such a pleasure to work with, and I felt extremely confident in our PR messaging and handling of the situation with him at the lead."*

Band 2

Edelman

What the team is known for Edelman has a significant presence across the USA and is widely recognised for its capabilities in litigation PR. The agency offers a wide range of communications services in regulatory investigations, shareholder lawsuits and class actions, among others.

Strengths

"The Edelman team provides outstanding counsel, strategy, execution and client service."

"The team was expansive – from topline strategists to strong tacticians. They were able to bring on a variety of specialists on the go."

"Edelman has outstanding capabilities across research, public affairs, litigation expertise, etc. There is a seamless ability to engage productively with both in-house and outside counsel."

Notable practitioners

Global crisis chair Hugh Taggart is a key contact.

PRCG Haggerty

What the team is known for PRCG Haggerty is a specialist communications consultancy with offices in New York, Washington DC and Los Angeles. The agency offers tailored counsel and bespoke PR responses to contingencies arising from longstanding, ongoing legal disputes. PRCG is also a member of the Crisis Protection Network, an association of professionals in the fields of crisis management and brand protection.

Work highlights PRCG Haggerty handled communications in a Californian class action case against Dow Chemical Corporations and its successor company over a widely used bug killer.

Notable practitioners

James Haggerty is president and CEO of the agency and is a qualified attorney expert in providing counsel to clients undergoing complex communications issues.

Sitrick and Company

What the team is known for Sitrick and Company has proven experience in advising clients on their communications strategy in relation to stock manipulation allegations, contract disputes and alleged antitrust violations, among others.

Strengths

"Their connections to the media are very strong, particularly the established publications. They have established credibility with those groups, which is invaluable."

"Sitrick sees the big picture and the next three steps needed to handle the crisis."

Notable practitioners

Michael Sitrick is founder, chair and CEO of the firm. He carries extensive experience in assisting clients through difficult reputational crises and complex disputes. **Strengths:** *"Mike is one of the people you want in your corner when you are facing a nightmare situation."*

Band 3

August Strategic Communications

What the team is known for Founded by a group of strategic communications experts, which includes three former attorneys. The agency is adept at developing communications strategies and is intimately familiar with white-collar, employment and intellectual property litigation matters, as well as wrongful convictions. The firm is based in Los Angeles, Dallas and New York and has experienced impressive growth since its inception in 2021.

Strengths

"August excels as it has the right combination of being subject matter experts, as well as constantly being practical and responsive to client needs. When outside counsel enlists their support, you need that mix in order for there to be a true value add. They tick all the boxes."

"They were available 24/7 and extremely responsive – they made us their top priority and they showed it at all times."

Notable practitioners

Ellen Davis (see p.31) is a managing director at the agency and has professional experience both as an attorney and as a journalist. She leverages her considerable experience to help clients respond to regulatory investigations and civil litigation. **Strengths:** "Ellen has a strong understanding of the important interplay between managing the legal issues related to these types of situations, while at the same time balancing the need to be practical in responding to the client's business concerns." "She has so much experience related to this area and I think she is spectacular. Ellen can handle any assignment and is a top-notch litigation expert." "Ellen has seen it all in this area and is the person you want in the foxhole with you when there is a crisis."

FTI Consulting

What the team is known for FTI Consulting is a multinational professional services firm with a highly regarded presence in the North American communications market. The firm's strengths in other fields, such as expert witness testimony or business intelligence, complement and enhance its capabilities in litigation PR.

Notable practitioners

Myron Marlin is a senior managing director in the strategic communications division at FTI Consulting and leads the firm's crisis and litigation practice in the Americas. **Strengths:** "I think he is outstanding, he has brilliant instincts and is very client-focused in his approach. He produces stellar work."

H/Advisors Abernathy

What the team is known for Formerly known as Abernathy MacGregor, H/Advisors Abernathy is a strategic communications advisory firm particularly experienced in IP litigation, contract disputes and discrimination allegations, among others.

Strengths

"The team has provided sound and measured advice through challenging times. Their team has depth and experience. They work to understand the external and internal factors surrounding the company to develop well-rounded messaging."

"H/Advisors Abernathy is very well versed in understanding, coming up to speed, and then addressing complex matters."

Notable practitioners

Managing Director Matt Reid and Vice Chairman Ian Campbell are key contacts at the firm.

Infinite Global

What the team is known for Infinite Global is a transatlantic agency with a US presence based in New York, San Francisco and Chicago. The agency is also experienced in counselling its clients to proactively avoid litigation, and to prepare

strategies to enable quick responses to various contingencies, including crises.

Strengths

"Their growth has been really impressive, they have transformed into an international player."

"I found Infinite Global to be well equipped to handle sophisticated, high-stakes litigation PR issues."

"They have a remarkable depth of talent. The principals know how to attract and hold on to the absolute best practitioners."

Work highlights Infinite Global supported law firm Boies Schiller to create and execute a PR campaign around the firm's filing of an amicus brief at the Supreme Court in the case of *Dobbs v Jackson Women's Health Organization*.

Notable practitioners

Jamie Diaferia (see p.89) is the agency's founder and CEO. He often contributes to the industry and is praised by clients for his deep media connections which aid their position and messaging in litigation. **Strengths:** "I was impressed with Jamie's sophistication, poise, and dedication to client service." "Jamie is among the most effective and passionate professionals I have ever encountered." "His understanding of the issues is exceptional and his advice is always well reasoned and on point."

Poston Communications

What the team is known for Poston Communications is a public relations agency led by communications experts and former attorneys. The firm is deeply experienced in handling corporate governance, cybersecurity, fraud and regulatory investigations. The agency is often asked by clients to handle the public aspects of sensitive litigation, well before proceedings begin.

Strengths

"Poston has excellent contacts and leverages an excellent network with the media. They successfully lobby on our behalf in various ways. They are also incredibly strategic and scan the market to bring opportunities to us. They are prolific and proactive."

"I like the scale and size of Poston's offering in the US and they seem to be agnostic to industry, they can work across various areas. They are also really great listeners. I am so impressed with them."

Notable practitioners

Founder **David Poston** has a strong reputation in litigation PR, and has a background as an attorney. He provides expert communications advice to clients operating in various sectors. **Strengths:** "Dave is very responsive and creative in helping the firm with innovative approaches to communications and PR." "He has got a great perspective on clients and their situation. He is very empathetic and this is important for this line of work." **Monica Smith** is president and co-managing partner at Poston Communications. She is often called upon to advise boards of directors in anticipation of litigation and construct client responses to government investigations. Smith was formerly part of an in-house communications team at Holland & Knight. **Strengths:** "Monica is a great sounding board and is excellent to collaborate with. She is very good at bringing people with differing perspectives together so that they can work together. I really like her approach."

Ripp Media

What the team is known for Boutique firm Ripp Media is deeply experienced in litigation PR, including white-collar crime, securities class actions, patent lawsuits and corporate governance issues.

Strengths

"The team at Ripp Media is a wonderful group. They are fast, responsive and have a wealth of knowledge of the legal landscape."

"They are true professionals and are well-versed across the legal world and related sectors."

Notable practitioners

Allan Ripp is the principal and practice leader at the agency. He has many years of proven success in litigation PR, representing leading law firms and handling communications strategies during difficult, complex trials. **Strengths:** "Allan is well sourced in the media industry, understands what it takes to get high-quality media coverage, and successfully executes media strategies." "Allan is an invaluable extension of our team."

Band 4

Furia Rubel Communications, Inc.

What the team is known for Furia Rubel Communications has deep experience in litigation communications and strategy. It was founded by a former litigator, whose expertise feeds into the team's incident response, trial publicity and litigation and crisis communications services.

Strengths

"They achieve results and we have built a really strong relationship and level of trust based on the fact they continue to get results. I trust them, my team trusts them, our media partners trust them and this is invaluable."

"Furia Rubel is great because as a client you get to benefit from the vast experience of very skilled people who take the time to fully understand situations and challenges and make great recommendations."

Notable practitioners

Gina Rubel is a specialist litigation and crisis communications professional who makes use of her extensive background as a litigator to manage high-profile matters. She garners praise for her understanding of the legal public relations landscape. **Strengths:** "Gina is personable, knowledgeable, flexible and hard-working. She is always bringing solutions to the table."

Goldin Solutions

What the team is known for Goldin Solutions provides litigation support to corporations, nonprofits and individuals in disputes in courts throughout the US. With an office in New York, the firm relies on strong connections to various media outlets to project narratives favourable to its client's position.

Strengths

"Goldin recognises the value of working together with legal in how to get the best result for our client."

Work highlights Goldin Solutions advised the founders of Tinder in a high-profile profits-related lawsuit.

Notable practitioners

Founder Davidson Goldin is a key contact.

KARV Communications

What the team is known for KARV Communications is a boutique specialising in corporate and financial communications, crisis management, litigation support and public affairs.

Strengths

"I particularly like their approach, they are engaged on assignments that need attention, they educate themselves properly and dive deeply into the work in a manner that provides meaningful impact from the get-go."

Work highlights KARV Communications provides litigation support on an art restitution claim brought in the US against the Prussian Cultural Heritage Foundation.

Notable practitioners

Andrew Frank is the founder and president of KARV Communications and brings a wealth of experience to clients in litigation. **Strengths:** *"Andy is extremely well connected in the media and pinpoints the correct messaging."*

Other Ranked Lawyers

Risa Heller (see p.31) is CEO of her firm and is often the first port of call for companies needing PR support in high-stakes litigation.

Strengths:

"Risa has really built an incredible organisation, she really trains her people so well that I never have concerns when I am working with someone else on the team. Her whole team is great. She has assembled a spectacular group."

Leaders' Profiles in USA**DAVIS, Ellen**

August Strategic Communications,
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Featured in PR & Communications (USA - Nationwide)

Practice Areas: Ellen advises a range of clients facing government investigations and enforcement actions, employee, whistleblower and other high-stake litigation matters that affect reputation and valuation. Ellen also has an active practice advising non-profit organizations, independent schools and universities, and she has a number of pro bono clients. As a former government attorney, Ellen works closely with clients, in-house and outside counsel to ensure that all communications are informed by, and in support of, legal strategy. She recognizes that legal concerns are paramount and carefully balances those interests with clients' reputational imperatives. Recent assignments include the co-founders of a crypto currency derivatives firm in connection with DOJ and CFTC enforcement actions, the former General Counsel of an international technology company indicted for FCPA violations, a medical device company charged with FCA violations, an electric vehicle manufacturer in connection with employee litigation and other labor issues, and a biotech company in connection with IP litigation.

Career: Ellen has leveraged her prior experience as a government attorney and network news producer to tell compelling stories that protect reputations and mitigate harm. She served as Counsel and Spokesperson for the U.S. Attorney's Office, Southern District of New York, an attorney/advisor at DOJ and in the White House Counsel's Office. She also oversaw legal coverage for ABC Network News.

Professional Memberships: Posse Foundation: New York Advisory Board Association to Benefit Children: volunteer tutor Friends of Shelter Island Music: Board Member

Publications: Ellen speaks regularly about litigation support and crisis management at professional conferences including the Federal Bar Association, Practising Law Institute and the National Employment Lawyers Association.

DIAFERIA, Jamie

Infinite Global, New York
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Featured in PR & Communications (USA - Nationwide)

Practice Areas: Jamie Diaferia, founder and CEO of Infinite Global, counsels leading professional services firms on their litigation PR strategies. His clients include Am Law 200 law firms and accounting, financial services and consulting firms, as well as individuals and corporations involved in high-stakes legal battles. For more than two decades, Jamie has helped shape the media narratives of hundreds of high-profile litigation matters, working behind the scenes with both plaintiffs and defendants. Before founding Infinite Global, Jamie was a journalist and lawyer, a background that ensures he understands the needs of reporters and his clients, who often face complex legal challenges. He is a graduate of The Taft School, Bucknell University and the University of Connecticut School of Law.

EMORY, Tara S.

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Featured in eDiscovery (USA - Nationwide)

Practice Areas: Tara Emory is a recognized leader in advising organizations and law firms on eDiscovery processes and information governance programs,

including managing the development of search methodologies, data preservation and collection approaches, discovery protocols, data management and compliance programs, and records management technology solutions. She is skilled at assisting clients in identifying and leveraging technology and processes to complement legal strategies and improve project success. As Senior Vice President of Strategic Operations and Consulting at Redgrave Data, Tara provides the leadership and strategic vision necessary to ensure that Redgrave Data has effective, efficient, and intelligent operational controls, administrative and reporting processes, playbooks, best practices, standard operating procedures, people, and systems in place to grow the organization and deliver superior client value. Tara also works with the Redgrave Data team, provider partners, and clients to develop custom solutions for litigation and investigation matters, including reporting, data visualizations, analytics and machine learning strategies, workflows, and validation procedures.

Professional Memberships: Tara is a member of the Steering Committee of the Sedona Conference Working Group 1 on Information Governance and Electronic Discovery; the Sedona Conference Technology Review Panel; EDRM (Electronic Discovery Reference Model); and the American Bar Association Judicial Division Technology Committee/Subcommittee.

GEBAUER, Snezana

StoneTurn Group, LLP, New York
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Featured in Asset Tracing & Recovery (Investigations Agencies) (Global-wide), Business Intelligence & Investigations (USA - Nationwide)

Practice Areas: Investigations, Asset Tracing and Recovery, Due Diligence, Anti-corruption and Sanctions, Compli-

ance and Investigations, Compliance/Monitoring, Litigation Support, Business Intelligence.

Career: Snezana Gebauer, a Partner with StoneTurn, has 20 years of experience managing complex, international investigations for major law firms, Fortune 500 corporations, government agencies and sovereign nations. She frequently advises leaders in business, finance, and government on risk management strategies, investigations, and regulatory compliance matters. Snezana is recognized for her deep, global investigative expertise across every major business sector. During the course of her career, Snezana has led complex, and often high-profile, cross-border investigations into corruption, fraud, embezzlement, and misconduct. She is a leading expert in international asset tracing and recovery investigations and assists clients with judgement and award enforcement. Snezana has also served as an integrity monitor. Snezana managed one of the most complex FCPA and bid-rigging investigations in Latin America, resulting from operation Lava Jato, the largest criminal probe into corruption in recent history. She was also engaged by the Brazilian Congress to lead an asset tracing investigation into funds embezzled abroad by Petrobras executives and other Brazilian government officials. Clients also turn to Snezana for advice and risk mitigation strategies when they face difficult problems. Snezana helps clients protect their reputations and interests when confronted by unforeseen crises and in connection with high-stakes business disputes, by arming them with facts and critical intelligence to guide the decision-making process. Over the past two decades, Snezana has lived and worked in New York, London, Washington, D.C., and São Paulo, overseeing global teams of consultants, and assisting clients

with issues in developing countries and high-risk regions. She has conducted investigations in more than 65 countries and across a range of industries, including energy, mining, life sciences, crypto, technology, financial services, manufacturing, and infrastructure. Prior to joining StoneTurn, Snežana held leadership positions at three global investigative consulting firms. Most recently, she was an Executive Managing Director at K2 Integrity and the Americas Investigations Practice Leader based out of New York. Prior to this role, she was the country Managing Director for Brazil at Kroll. In addition to being a renowned expert in her field, she has notable leadership and management experience, which includes significant restructuring and strategic growth successes. Snežana received her M.B.A. from George Washington University, with a specialization in international finance, and her B.A., *summa cum laude*, Phi Beta Kappa, from Drake University. She also attended The American Institute on Political and Economic Systems at Georgetown University. Snežana is fluent in five languages.

Professional Memberships: Advisory Board Member – National Association of Corporate Directors.

Publications: International Investigations Global Trends 2023 (June 2023, Video), Amid Shifting Business Landscape, the GC's Role and Value Are Expanding (September 2022), Cryptonews: NFTs and 'Insider Trading' How to Stay in Compliance (August 2022), When It Comes to Sanctions, PE Firms Must Proceed with Great Caution, TechCrunch (June 2022), Tackling Multijurisdictional Fraud Schemes, Risk and Compliance Magazine (June 2022), The New Risks CFOs Need to Take On, StrategicCFO360 (June 2022), Intelligence Matters, StoneTurn Client Alert (May 2022), M&A Risk Management Considerations Amid War in Ukraine, Law360 (April 2022).

GENET, Russell J

Longford Capital Management, Chicago
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Featured in Litigation Funding (USA – Nationwide)

Practice Areas: Russ is responsible for investment sourcing, underwriting and monitoring investments primarily involving intellectual property actions, such as inter partes reviews; patent, trademark and copyright infringement; and theft of trade secrets.

Career: Prior to joining Longford Capital, Russ was a partner in the international firm Nixon Peabody, where he served as the leader of the firm's IP Litigation Practice Group. Russ has defended and monetized intellectual property rights in a wide array of industries, including electronics, computers, software, telecommunications, and medical devices. Russ has extensive experience litigating complex intellectual property matters in federal courts throughout the United States

and before the United States Court of Appeals for the Federal Circuit and has prosecuted patent applications and represented clients in interferences, ex parte reexaminations, and inter partes reviews in the United States Patent and Trademark Office.

Personal: About Longford, Russ said, "We are expert not only in litigation finance but also in large commercial litigation. We have funded all types of cases and have worked with some of the largest law firms in the world. This experience enables us to be creative in developing deal structures that work for any type of unique situation."

HELLER, Risa

Risa Heller Communications, New York
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Featured in PR & Communications (USA – Nationwide)

Career: Risa is the CEO of Risa Heller Communications, a full-service communications and public affairs consultancy based in New York City. Ms. Heller builds on her background in politics and crisis communications to help clients navigate the highest levels of national top-tier media as well as complex issues management environments where reputation or permission to operate is on the line. Ms. Heller's work centers on the intersection of public affairs, issues management and front-page news. She has worked with a range of clients in varied sectors as well as a range of high-profile individuals and families in New York, Washington and Los Angeles. Risa has served at the highest levels of government: as Communications Director to U.S. Senator Charles Schumer she served as his chief media strategist and senior spokesperson, and moved on to serve in a similar role for New York State Governor David A. Paterson. She graduated from the University of Michigan and received her M.A. in Communications from Johns Hopkins University.

HODGKINS, Chip

Statera Capital, Chicago

Featured in Litigation Funding (USA – Nationwide)

Practice Areas: Chip is one of Statera's co-founders and serves as Managing Director. In that capacity, Chip is responsible for investment sourcing, selection, and structuring, portfolio management, fund finances and operations, and investor relations. Chip also serves on Statera's Investment Committee. Chip brings a wealth of financial, deal execution, and investor relations experience to Statera. This experience enables Chip to structure transactions to align and optimize incentives for all involved. Prior to co-founding Statera, Chip was the Chief Financial Officer at InnerWorkings, Inc. (Nasdaq: INWK), where he helped build the business services company from a niche player into a billion-dollar market leader through a mix of mergers and acquisitions and organic growth. At InnerWorkings, Chip was directly responsible for sourcing, negotiating, and closing 40+

acquisitions, representing over \$500 million in revenue and \$350 million in deal value. Before joining InnerWorkings, Chip founded and operated a boutique business brokerage Skyward Enterprises. Chip's prior experience also includes roles in middle-market private equity (The Edgewater Funds), venture capital (CHL Medical Partners), and investment banking (Thomas Weisel Partners). Chip's experience is unique in the funding industry and is central to Statera's ability to offer flexible, innovative financing solutions to clients and law firms. Chip frequently speaks about how litigation finance enables companies to unlock the value of their legal assets, retain the best possible legal representation, and transform legal departments from cost centers to profit centers. Chip graduated with a BA from Duke University and earned an MBA from the University of Chicago. Chip also serves as an Adjunct Professor at Vanderbilt Law School, where he teaches a course on commercial litigation finance.

KELKAR, Patrick

The Risk Advisory Group, New York

Featured in Business Intelligence & Investigations (USA – Nationwide)

Practice Areas: Anti-Corruption Due Diligence and Investigations Litigation/ Arbitration Support Investigations Internal Investigations – Fraud, Corruption and Misconduct Asset Tracing

Career: Patrick Kelkar, Managing Director (Americas) at The Risk Advisory Group, has more than 25 years of experience in conducting investigations around the world, having worked in the Big Four, international organisations, high-profile public inquiries and as a senior leader at boutique investigative firms. Patrick specialises in investigating complex transnational fraud and corruption cases, as well as in fact-gathering investigations for litigators and their clients. Prior to Risk Advisory, Patrick was the head of the anti-corruption and internal investigations practices for a global investigative firm, where he spent more than twelve years. He has managed and conducted due diligence, litigation, asset tracing, fraud, corruption and misconduct investigations on behalf of counsel, international organisations, governments, investors and corporate clients. Patrick has led matters in more than 100 countries and conducted investigative work on the ground in approximately two dozen countries, including in Latin America, Europe, the Middle East, Africa and Asia. Previously, Patrick conducted international investigations on behalf of the World Bank Group and the Independent Inquiry Committee ("Volcker Committee") into the United Nations Oil-for-Food Programme in Iraq. Patrick was a Senior Investigator for the Volcker Committee and played a key role in the high-profile investigation into allegations of corruption against the Executive Director of the U.N. program. For the World Bank, Patrick conducted numerous procurement and

financial investigations of development projects in Africa and Asia, as well as investigations of various internal fraud and corruption allegations.

KINDY, Mark

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Featured in eDiscovery (USA – Nationwide)

Practice Areas: Mr. Kindy has more than 30 years of litigation, e-Discovery, data analytics and forensic technology experience. He has assisted corporations and law firms on all aspects of litigation readiness, technology, data analysis and discovery process activities.

Career: Mr. Kindy's experience includes discovery management, digital media, information governance, data privacy, records, document and data management, and technology and operations management. His notable engagements include serving as CIO, CDO and leader of the Data Mining & Analytics and eDiscovery teams for the Lehman Brothers Holdings bankruptcy, assisting with the wind-down, claims adjudication processes and litigation.

Professional Memberships: Member of The Sedona Conference, Working Group 1 (WG1) and WG1 Possession, Custody and Control Drafting Committee, as well as WG1 Defensible Deletion Drafting Committee Board member of the .406 Data Council Board member of Indiana University Cybersecurity Advisory Council

KOEHLER, Bradley

Alvarez & Marsal, New York

Featured in eDiscovery (USA – Nationwide)

Practice Areas: Mr. Koehler specializes in eDiscovery, digital forensics, data analytics, and developing solutions to technology related issues arising from complex litigation, investigations, bankruptcy, and other types of disputes.

Career: With more than 15 years of forensic technology experience, Mr. Koehler regularly serves as an expert on eDiscovery-related issues and has advised across the full EDRM spectrum, from information governance, preservation and identification to collection and processing through review and production. He has significant experience managing large discovery matters involving technology assisted review, advanced analytics, and the use of data mining and investigation tools. Mr. Koehler's recent notable engagements include leading the eDiscovery team for the Lehman Brothers Holdings bankruptcy, supporting more than 400 matters and the collection and processing of more than 750 million documents, which required the design and implementation of proprietary systems to facilitate the discovery process.

Professional Memberships: Certified E-Discovery Specialist (CEDS) Relativity Certified Administrator (RCA) Relativity Certified Expert ACEDS Jacksonville Chapter Board of Directors

LEVINSON, Molly

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Featured in PR & Communications (USA – Nationwide)

Practice Areas: Molly Levinson is a strategic advisor to influential leaders, public and private businesses on brand and reputation-related issues at the intersection of markets, media, policy, and the law. Founder and CEO of nationally recognized communications and issues management firm, The Levinson Group, Ms. Levinson regularly leads teams of strategists in multi-dimensional communications campaigns, often partnering with top law firms and in-house communications, legal, and public affairs teams on matters with profound implications for industries, boardrooms, and workforces. A specialist in combatting complex misinformation and disinformation campaigns, in recent years, Ms. Levinson has served as an advisor to the world's largest companies, professional services firms, and their leaders, navigating existential moments for brands and boardrooms. Ms. Levinson also serves as an advisor on major social impact and public interest engagement campaigns, which include some of the most notable and headline-grabbing issues over the last several years, including the successful effort to achieve Equal Pay for the U.S. Women's National Team, numerous women's sports leagues, teams, athletes, and advocates; multiple global efforts on behalf of journalists and journalism including assisting dozens of Afghan journalists to flee the Taliban; and efforts to free Americans detained or held hostage outside the U.S.

NICOLAS, Michael A.

Longford Capital Management,
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Featured in Litigation Funding (USA – Nationwide)

Practice Areas: Michael serves on Longford Capital's Investment Committee and is responsible for portfolio management, underwriting, investment selection, and overseeing the efforts of independent attorneys and other professionals as part of the due diligence process. He considers investments in subject matter areas where the firm has developed considerable expertise, including commercial disputes, antitrust and trade regulation claims, and intellectual property actions.

Career: Before co-founding Longford Capital in 2011, Michael had been an accomplished attorney with 15 years of experience representing corporate clients involved in complex litigation, including at trial, in the commercial litigation departments at Neal, Gerber & Eisenberg and Drinker, Biddle & Reath. Chambers and Lawdragon have ranked Michael among the top litigation funders annually since 2018. Michael was included in the IAM Strategy 300, a list of individuals with an established record of world-class intellectual property

value creation programs, in five of the last seven years.

Personal: About Longford, Michael said, "I am most proud of the business we've built, the team we've assembled, and the reputation we've earned. I feel grateful and fulfilled every day to be using the skills that I acquired over many years of practicing law to assist clients and law firms to achieve the best possible outcomes."

PRASAD, Ashish

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Featured in eDiscovery (USA – Nationwide)

Practice Areas: Electronic discovery, litigation and government investigations.

Career: Litigation Partner, Founder and Chair of the Mayer Brown LLP Electronic Discovery and Records Management Practice ("EDRMP"); Founder and CEO of Discovery Services LLC; Vice President and General Counsel of eTERA Consulting; Vice President and General Counsel of HaystackID.

Professional Memberships:

Adjunct Professor of Law, Northwestern University Pritzker School of Law (Civil Discovery, Advanced Topics in Litigation and Trial Advocacy); Advisory Boards for Center on Practice Engagement and Innovation at Pritzker School of Law, and Center for Forced Migration Studies at Buffett Institute; Member, Board of Directors, and Executive Editor of Distance Learning Program, Electronic Discovery Institute (EDI); Co-Founder and Member, Board of Directors, Government Investigation and Civil Litigation Institute (GICLI); Chair, Vice-Chair and Member of the Board of Directors, Midwest Region, US Fund for UNICEF. 2011-Present; Advisor, Judge Advocate General's (JAG) Corps, United States Army, 2018-Present.

Publications: Litigation and Investigations Treatises: Executive Editor, The General Counsel's Guide to Government Investigations (Government Investigation and Civil Litigation Institute, 2017). Articles: "Means to an Expensive End: Modes of Payment Under the Foreign Corrupt Practices Act," forthcoming 2023; "Data Privacy Laws and Blocking Statutes: Five Practical Strategies for Counsel," Complex Discovery (January 27, 2023), available at <https://complexdiscovery.com/data-privacy-laws-and-blocking-statutes-five-practical-strategies-for-counsel/>; "Navigating International Discovery Restrictions: Seven Recommendations," Complex Discovery (February 5, 2023), available at <https://complexdiscovery.com/navigating-international-discovery-restrictions-seven-recommendations/>; "Cooperation Standards in Government Investigations: Practical Tips," Complex Discovery (January 15, 2023), available at <https://complexdiscovery.com/cooperation-standards-in-government-investigations-practical-tips/>; "The Government Investigation Reference Model," The General Counsel's Guide to Government Investigations

(Government Investigation and Civil Litigation Institute, 2017); "Production of Documents to Federal Agencies," In-House Defense Quarterly (DRI, Fall 2016); "Class Certification After Wal-Mart v. Dukes: How Much Have the Standards Really Changed?" PP&D (Journal of the ABA Committee on Pretrial Practice and Discovery, November 2012); "The Use of Expert Witnesses for the Purpose of Class Certification: When Does Daubert Apply?" Class Action Litigation Report (BNA, 2012); "Better to be Savvy Than Sorry: Preparing for Injunctions in Patent Litigation," The Computer and Internet Lawyer (July 2012); "The Importance of Keeping Secrets: The Use of Protective Orders During Patent Litigation," The Computer and Internet Lawyer (August 2012); "Tools and Techniques for Effective Case Management," The Metropolitan Corporate Counsel (March 2011); "More Bark Than Bite? The Impact of Twombly and Iqbal," PP&D (Journal of the ABA Committee on Pretrial Practice and Discovery, Winter/Spring 2011); "Myths, Realities and Strategies of Fixed Fees, Part I," The Metropolitan Corporate Counsel (April 2011); "Myths, Realities and Strategies of Fixed Fees, Part II," The Metropolitan Corporate Counsel (May 2011); "Strategies for Mitigating Litigation and Regulatory Risk of US Companies Doing Business in India," Practising Law Institute (2007); "Rights Without Remedies: Section 1983 Enforcement of Title IV-D of the Social Security Act," 60 University of Chicago Law Review 197, Winter 1993. Electronic Discovery Treatises: Editorial Board, The Federal Judges' Guide to Discovery (Electronic Discovery Institute, 2015); Co-Editor in Chief, The Electronic Discovery Desk Book (Practising Law Institute, 2009); Executive Editor, The Sedona Principles: Best Practices Recommendations & Principles for Addressing Electronic Document Production (The Sedona Conference, 2006). Articles: "Remote Depositions: Reducing Costs and Improving Representation," forthcoming 2023; "Preferred E-Discovery Providers for Corporations," Chambers Litigation Support 2018 (May 2018), available at <https://www.chambersandpartners.com/preferred-ediscovery-providers-for-corporations/>; "Technology-Assisted Review of Documents -- Must-Know Workflows and Roles for Attorneys," The Computer and Internet Lawyer (April 2016); "Utilizing Contract Attorneys for Document Review," Facts and Findings (NALA, July/August 2015); "Understanding the Process: Tools and Techniques for Defensibly Processing Electronic Data," The Corporate Counselor (LJN, December 2012); "Collect to Protect: Processes and Technologies for Electronic Data Collection," Digital Discovery and e-Evidence (BNA, September 2012); "Cloud Computing and Social Media: Electronic Discovery Considerations and Best Practices," The Metropolitan Corporate Counsel (January 2012); "Problems and Solutions in Electronic Discovery," Digital Discovery and e-Evidence (BNA,

January 2011); "Effective Project Management in Discovery," The Practical Litigator (July 2009); "Courts Signal the Need for Defensible Search and Review Models, Digital Discovery and e-Evidence (BNA, October 2008); "Cutting to the Document Review Chase: Best Practices for Managing Document Review in Litigation and Government Investigations," Business Law Today (American Bar Association, November/December 2008); "Legal Process Outsourcing: A Guide to Important Considerations, Risk Mitigation and Achieving Success," Practising Law Institute (2008); "Best Practices in Document Review," Practising Law Institute (2008); "The Practical Implications of Proposed Rule 502," The Sedona Conference Journal (September 2007); "The Proposed Electronic Discovery Amendments to the Federal Rules of Civil Procedure," Defense Research Institute (2006); "The Discovery of Electronic Documents in India," Unpublished Manuscript (2005); "Electronic Discovery," from Successful Partnering Between Inside and Outside Counsel (Thomson West, 2005); "The Proposed Changes to the ABA Civil Discovery Standards and Electronic Discovery," The Sedona Conference (2004); "Sampling of Backup Tapes," For the Defense (June 2004); "US Discovery of Electronic Documents in Europe," The Sedona Conference Journal (September 2004); "The Ethics and Due Diligence of Responding to Electronic Discovery," Defense Research Institute (2003) Corporate, Private Equity and Venture Capital Articles: "Entrepreneurship in the Legal Technology and Professional Services Industries," Legaltech News (January 20, 2022), available at <https://www.law.com/legaltechnews/2022/01/20/entrepreneurship-in-the-legal-technology-and-professional-services-industries/>; principal author without byline; "Sales and Acquisitions in the Middle Market: Partnering Between Entrepreneurs and Private Investors," Complex Discovery (January 8, 2023), available at <https://complexdiscovery.com/sales-and-acquisitions-in-the-middle-market-partnering-between-entrepreneurs-and-private-investors/>.

Personal: Active in a wide variety of charitable organizations related to children's health and education, including, among other things, serving as Midwest Board Chair of the U.S. Fund for UNICEF and Board Member of Lurie Children's Hospital.

SPIEGELHALTER, Steve

Alvarez & Marsal, New York

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Featured in Business Intelligence & Investigations (USA – Nationwide)

Practice Areas: Mr. Spiegelhalter is a Managing Director with Alvarez & Marsal's Disputes and Investigations practice and is the North America Investigations Practice Leader. He specializes in investigating alleged political corruption, money laundering, bank and wire fraud, false claims, and

other alleged criminal activity. Mr. Spiegelhalter also counsels clients on their compliance programs, including companies under external monitorship and self-reporting requirements.

Career: Mr. Spiegelhalter's notable background includes his time as a federal prosecutor with the United States Department of Justice's Criminal Division, Fraud Section, Foreign Corrupt Practices Act (FCPA) Unit, as an Assistant United States Attorney, as in-house counsel and compliance officer at a Fortune 40 technology company, and in private law practice at a multinational law firm.

Professional Memberships:

American Bar Association International Bar Association National Association of Assistant United States Attorneys Association of Certified Fraud Examiners

WOLTMAN, Andrew

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Featured in Litigation Funding (USA - Nationwide)

Practice Areas: Andy is a co-founder and Managing Director at Statera Capital. Andy is a trusted expert on the responsible use of litigation finance by a growing cross-section of law firms and clients. He is regarded as an innovator in the commercial litigation finance industry and is a frequent speaker on varied topics related to the industry. Andy brings his dedication to client-service to every facet of Statera's work, which is reflected in Statera's commitment to providing customized, responsive, and responsible financing solutions to clients and law firms pursuing meritorious claims. Prior to co-founding Statera, Andy was a partner in the commercial litigation group at McGuire Woods LLP in Chicago. Andy represented commercial entities as plaintiffs and defendants in federal and state courts and arbitrations throughout the country. Andy holds a Bachelor of Science from Boston College and a Juris Doctor from the University of Notre Dame.